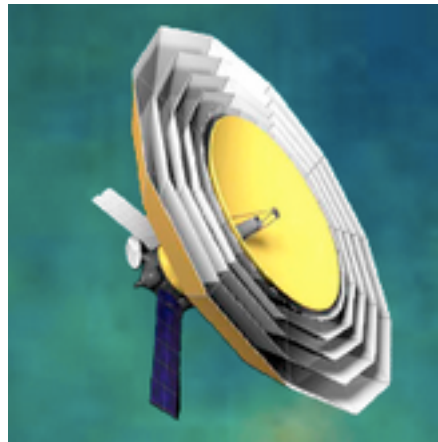
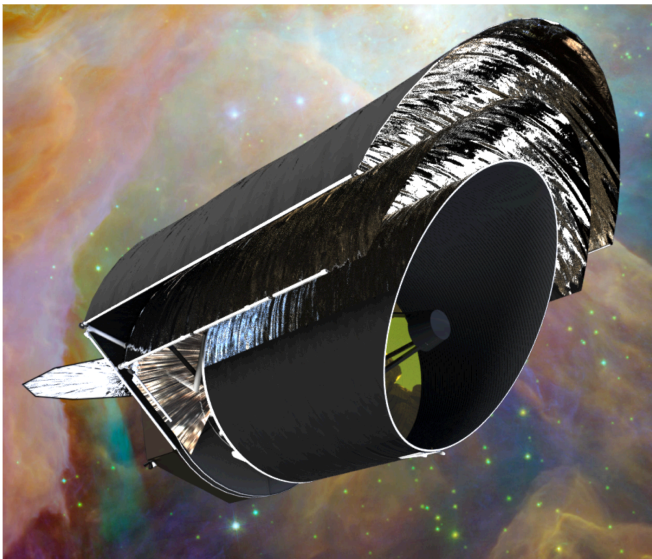


Imaging Heterodyne Spectrometer Concept and Science Case using the example of the Heterodyne Receiver for Origins

Presented by M.C. Wiedner



This talk largely uses what we have learned from HERO on Origins



Origins is a NASA study of a large IR satellite submitted to the decadal survey 2020

PI M. Meixner, A. Cooray

origins.ipac.caltech.edu

<https://asd.gsfc.nasa.gov/firs/docs/>

HERO is HEterodyne Receiver for Origins

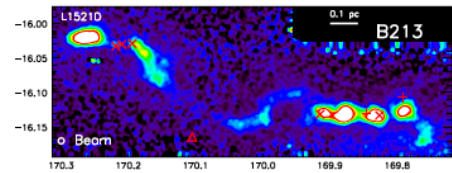
Outline

- Key Science Drivers
 - Modest Imaging Heterodyne spectrometer
- Additional Science Drivers
 - Ambitious Imaging Heterodyne Spectrometer

Key Science Drivers



- The Trail of Water (H_2O , H_2^{18}O , HDO)



- Determining the Cosmic Ray Flux in the Milky Way and in Nearby Galaxies
- Fundamentals of Dust Formation and Evolved Stars

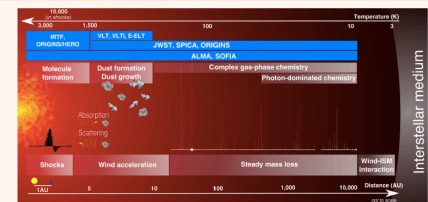
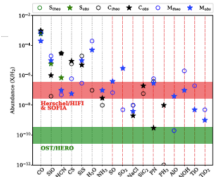
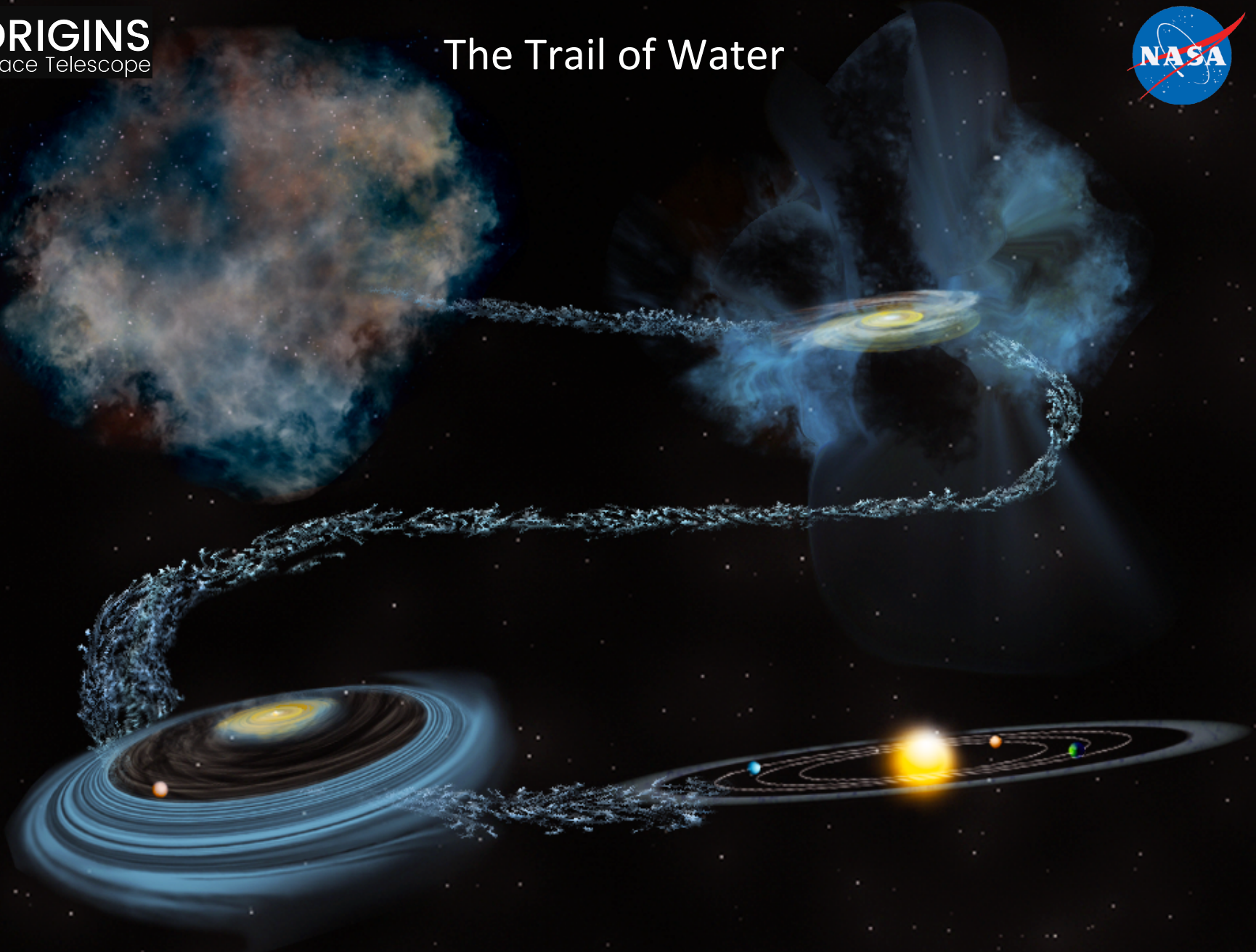


FIGURE 2: AGB outflow structure. White boxes: major chemical and physical processes, blue boxes: contribution of existing, planned, and proposed facilities.



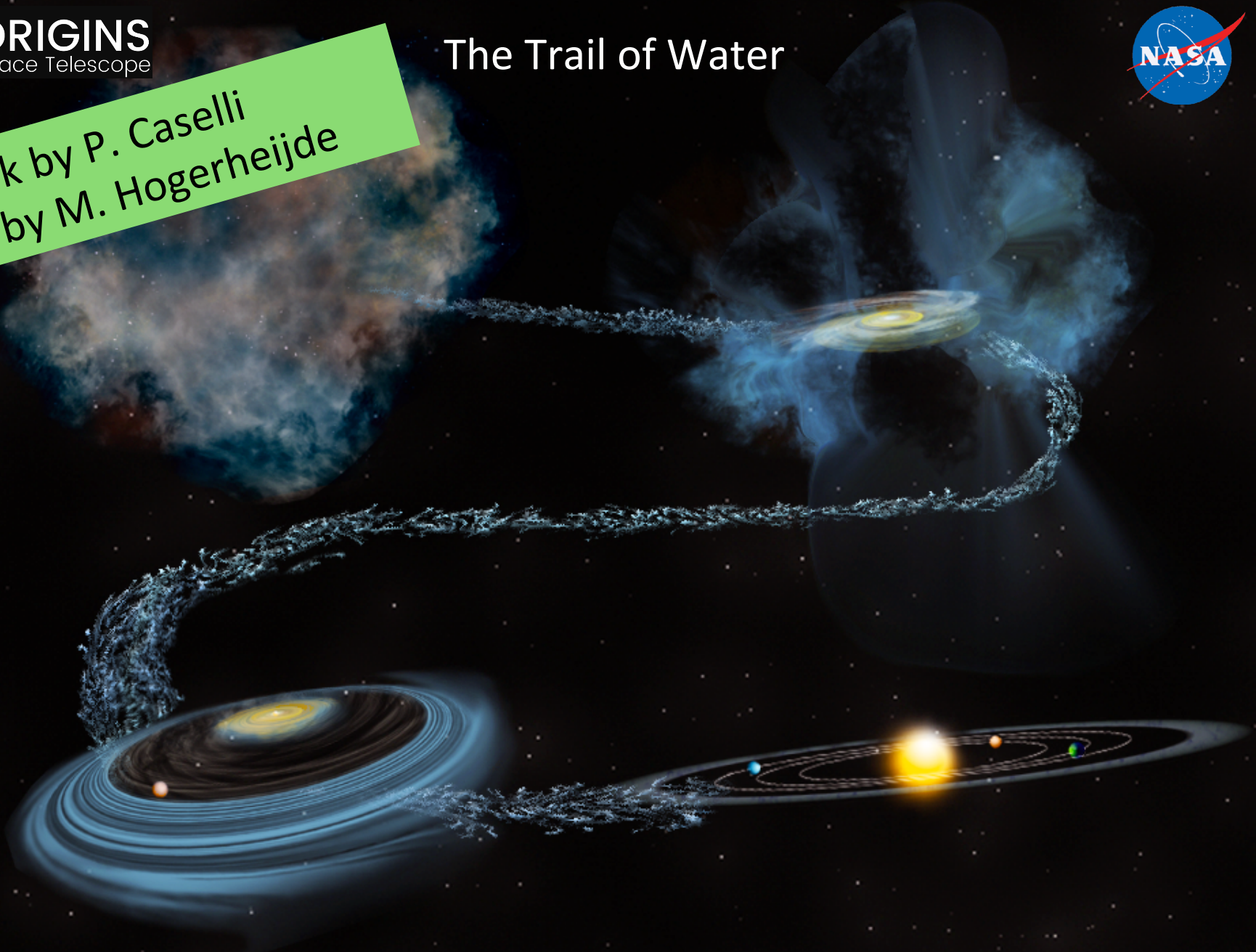
The Trail of Water



Science case developed by E. Bergin, K. Pontoppidan, G. Melnick, M. Gerin, et al.

The Trail of Water

Talk by P. Caselli
& by M. Hogerheijde



Science case developed by E. Bergin, K. Pontoppidan, G. Melnick, M. Gerin, et al.

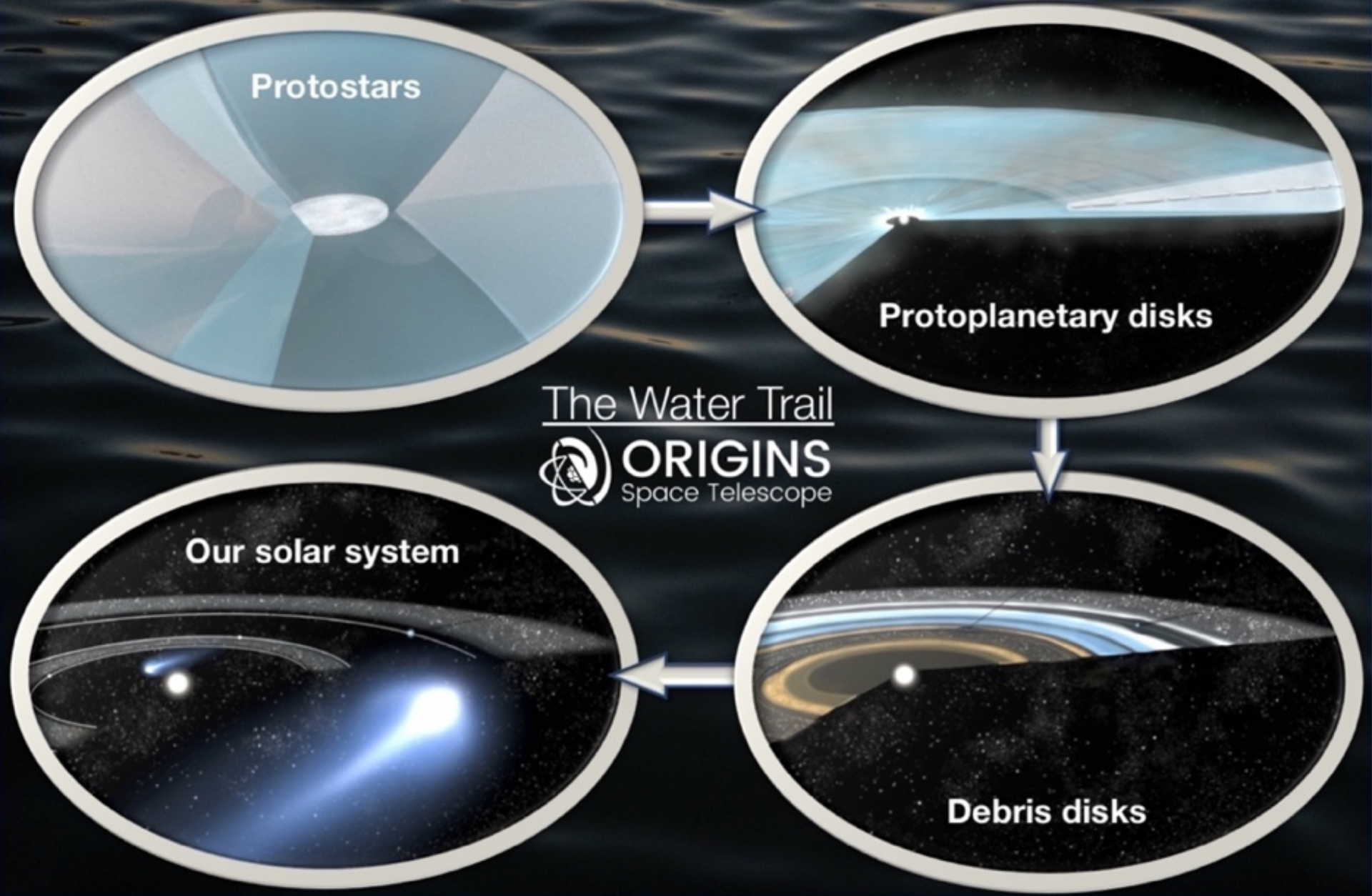
Protostars

Protoplanetary disks

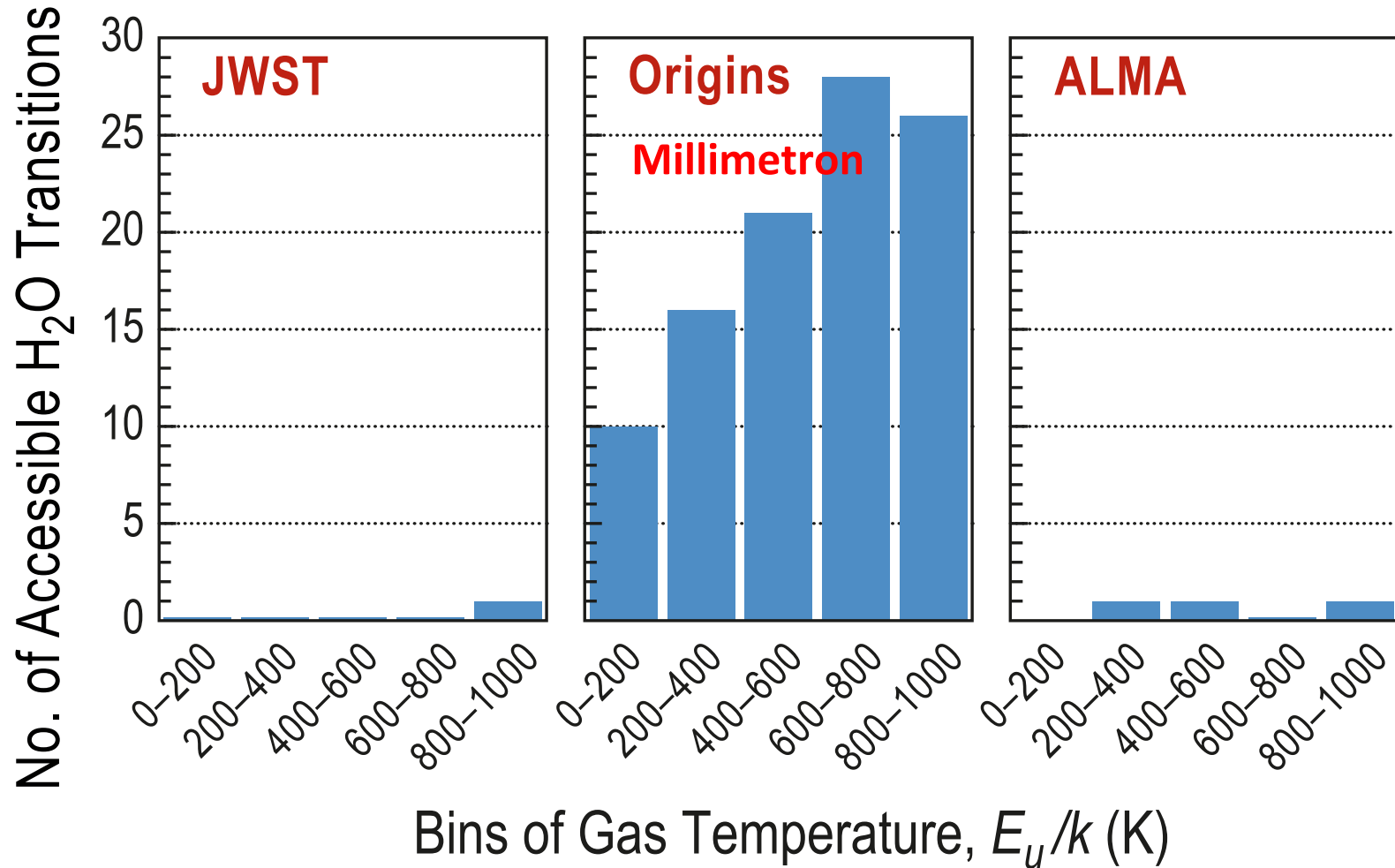
Our solar system

Debris disks

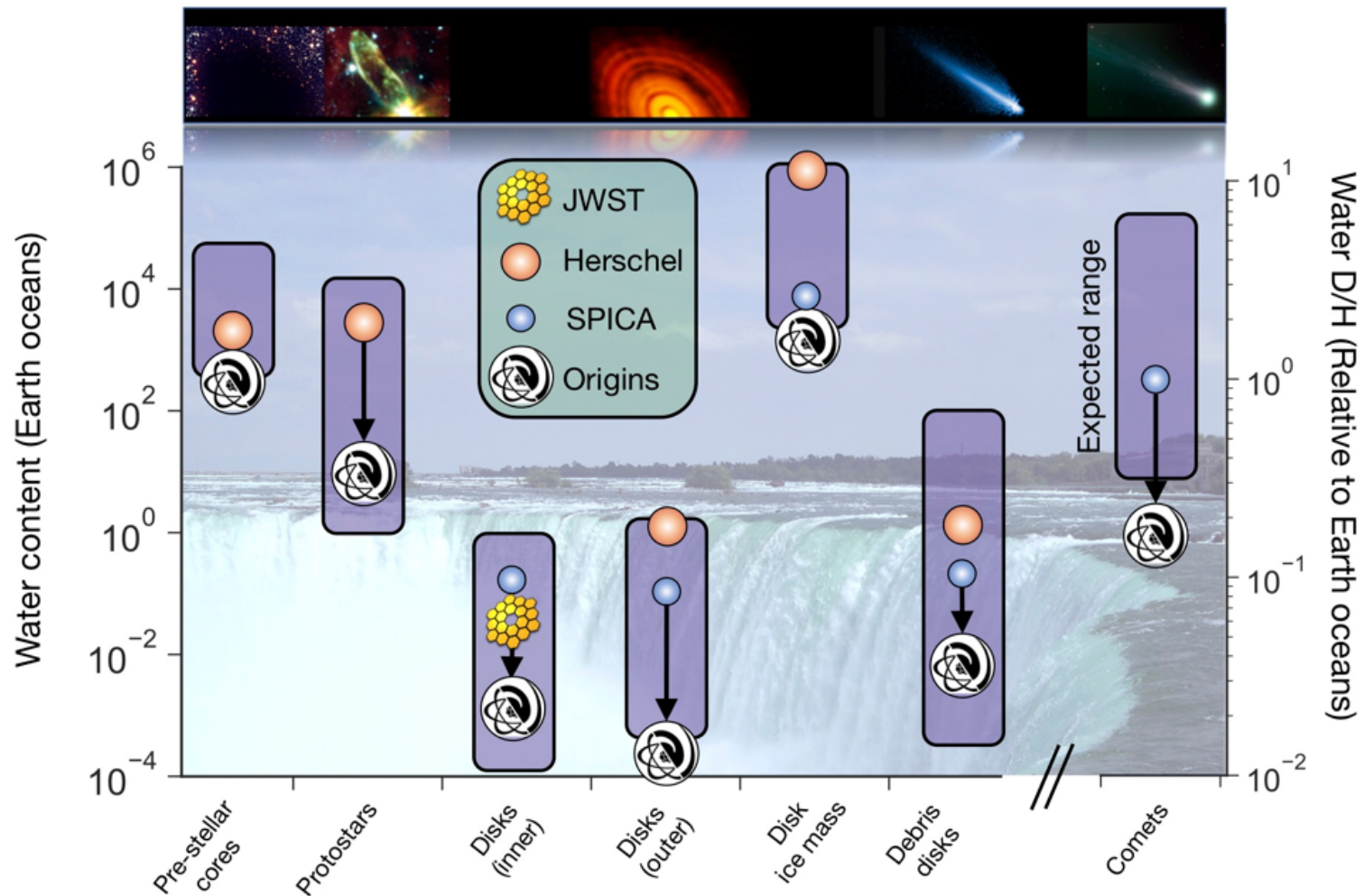
The Water Trail
 **ORIGINS**
Space Telescope



To Follows the Trail of water → submm observations



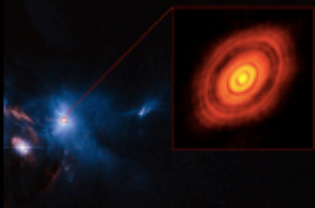
Sensitivity improvements with Origins (5.9 m primary, 4.5 K)



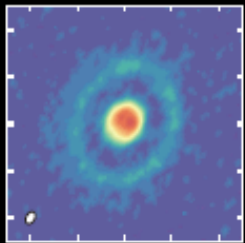
HOW DID WE GET HERE: THE WATER TRAIL



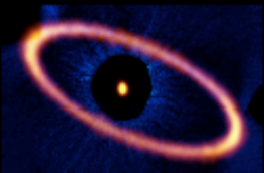
- Water's birth in star-less cores: HERO maps of ground state lines in 10 sources for 300 hours.



- Supply to a young disk in protostars: OSS survey of H_2^{18}O towards 50 sources with HERO followup for 150 hours



- Early planet formation in protoplanetary disks: OSS survey of 1000 sources with HERO followup: 1250 hours

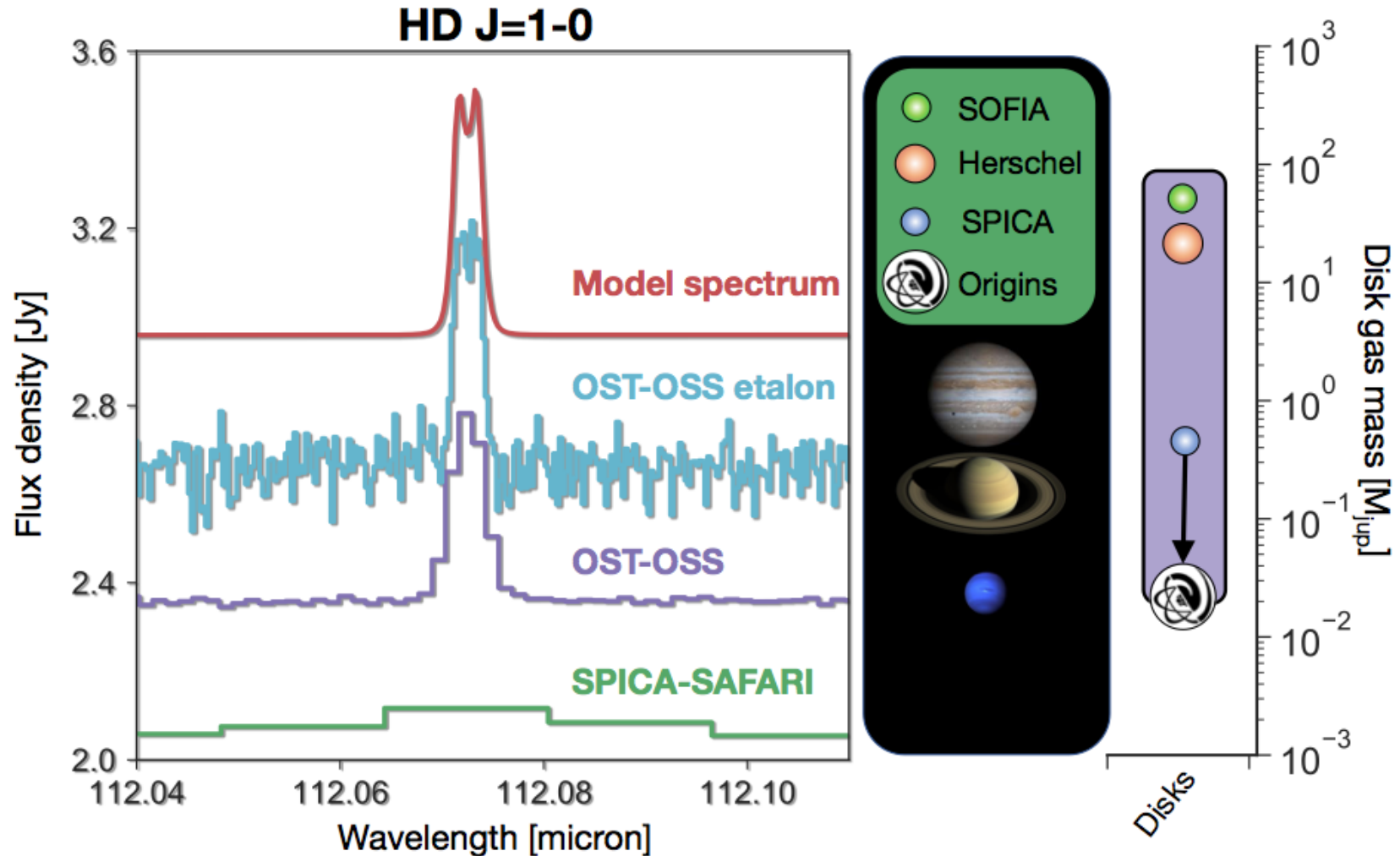


- Late planet formation in debris disks: OSS observations of O I, C II, and H_2O in 30 systems/positions for 100 hours

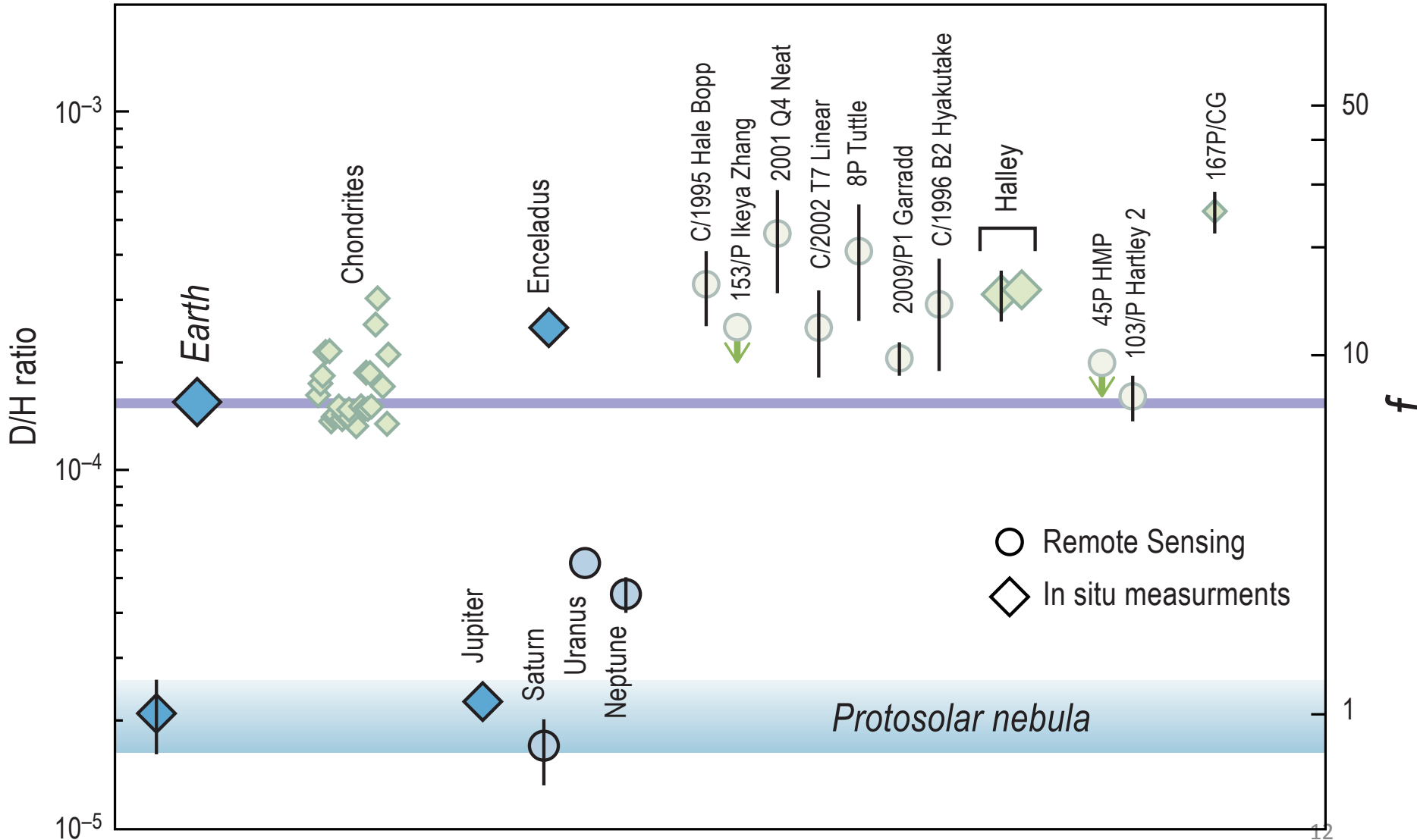


- Supply of life's ingredients to terrestrial worlds: OSS observations of > 100 comets for 200 hours

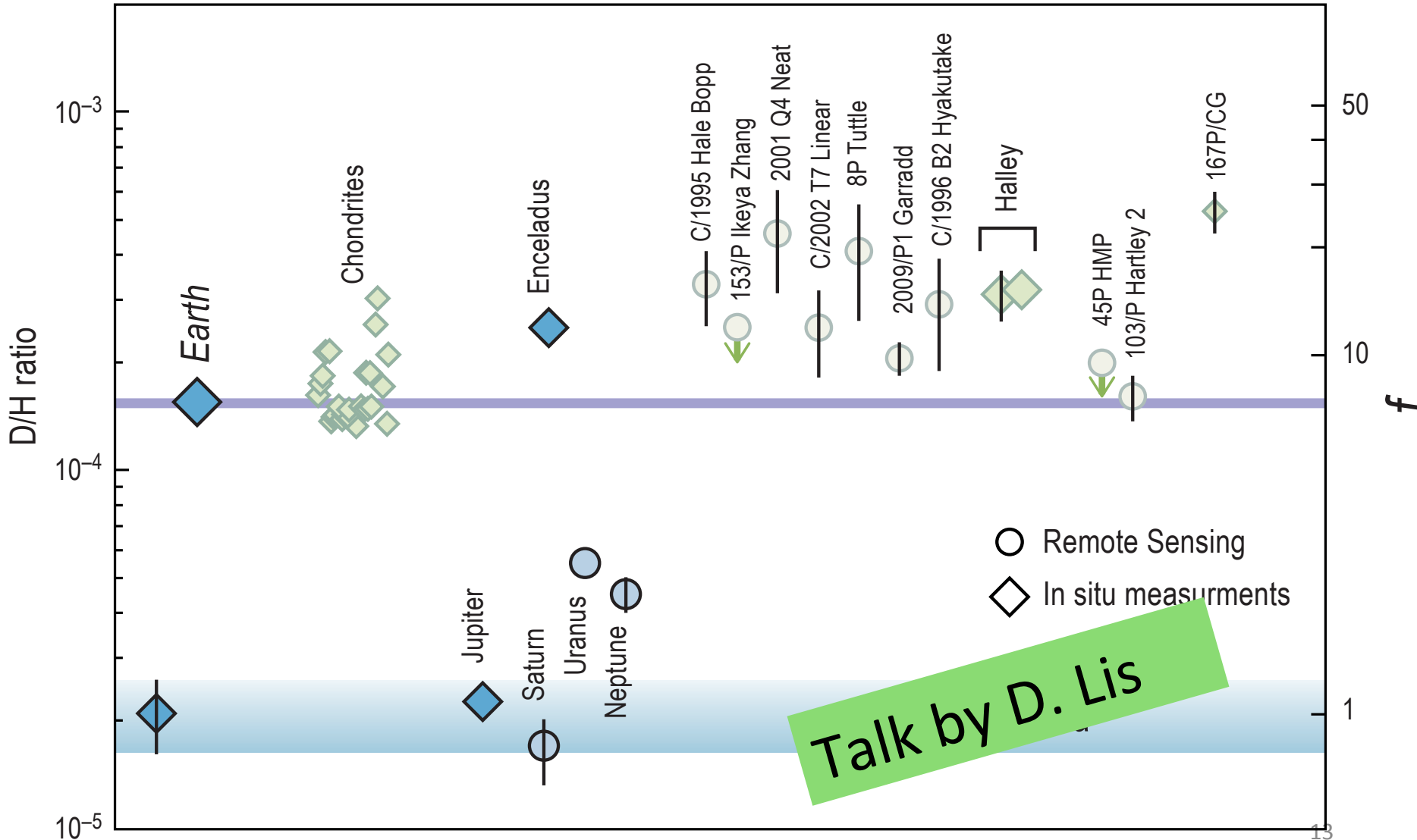
Measurements of Disk Mass



How was water delivered to Earth?



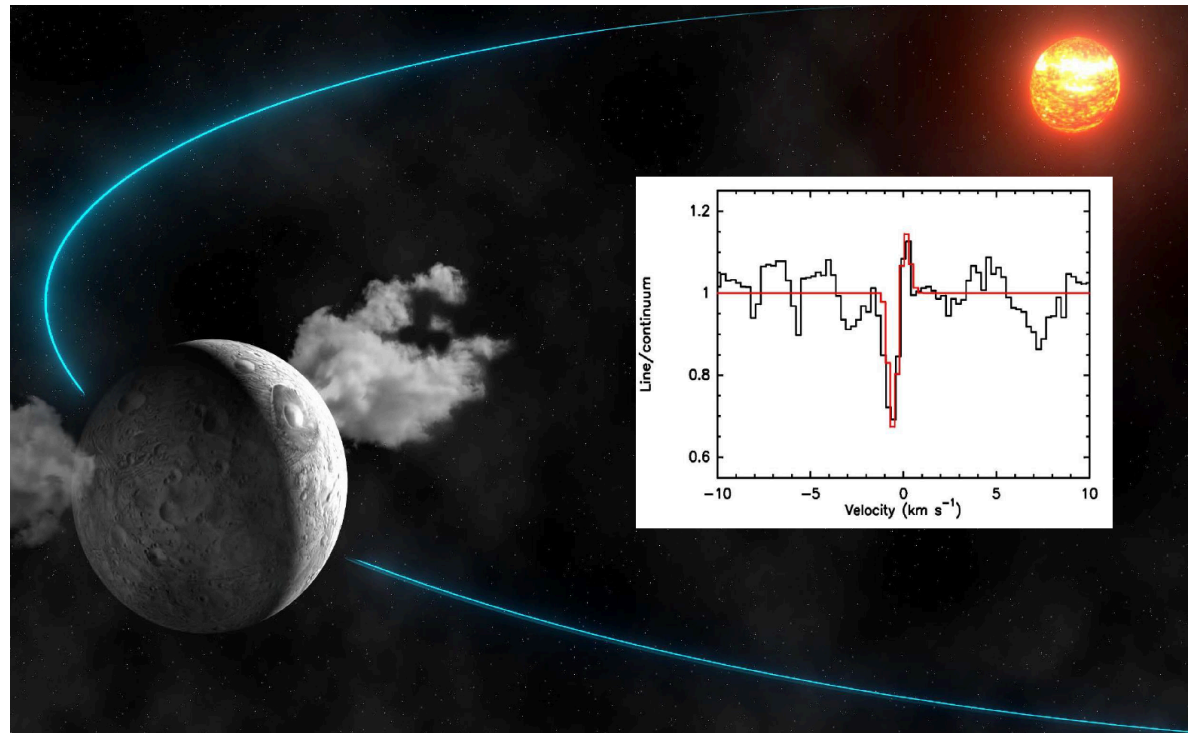
How was water delivered to Earth?



Talk by D. Lis

Water in the Solar System

Water is ubiquitous in our Solar System

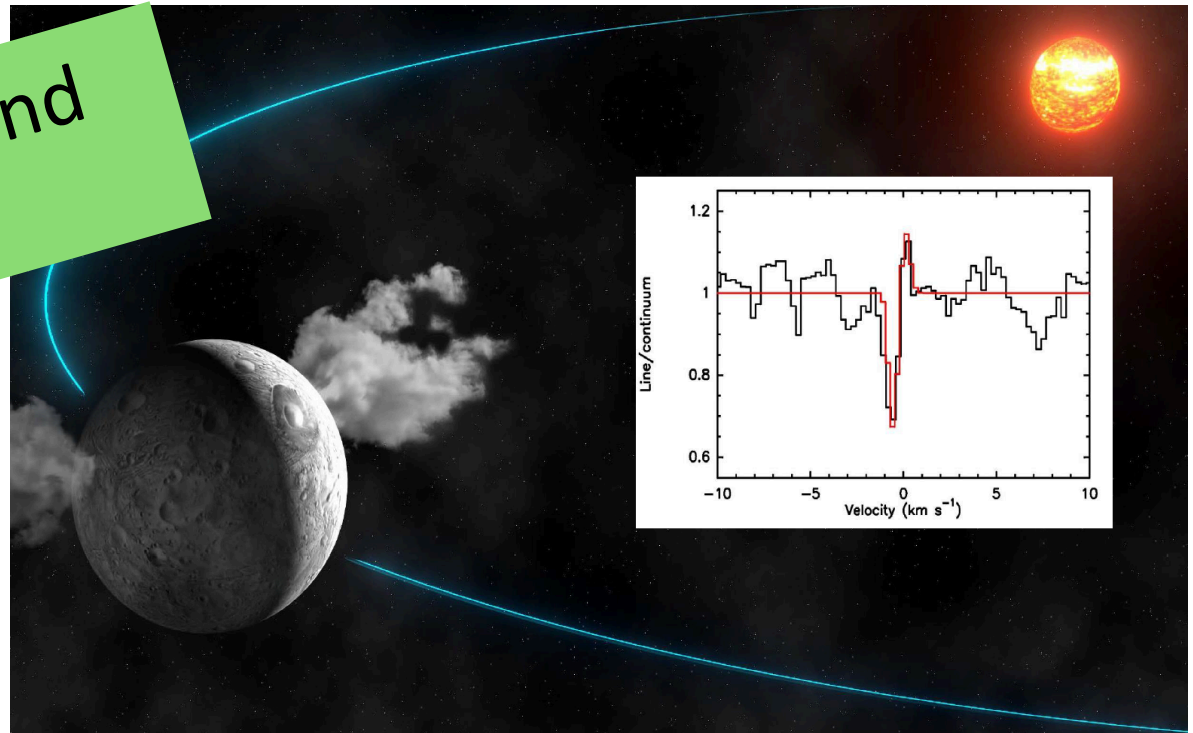


Water the dwarf planet Ceres. (Kueppers et al, 2014, Nature).

Water in the Solar System

Water is ubiquitous in our Solar System

Talk by D. Lis and
P. Hartogh

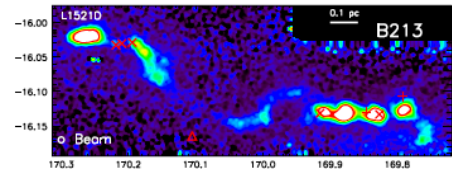


Water the dwarf planet Ceres. (Kueppers et al, 2014, Nature).

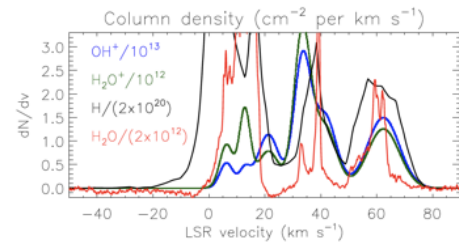
Key Science Drivers



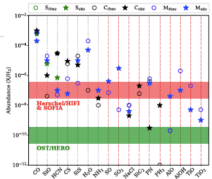
- The Trail of Water (H_2O , H_2^{18}O , HDO)

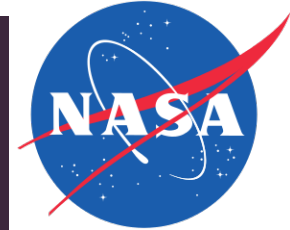
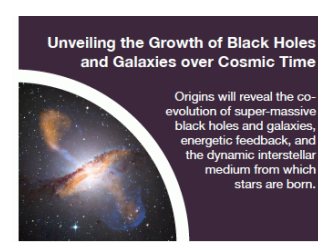


- Determining the Cosmic Ray Flux in the Milky Way and in Nearby Galaxies



- Fundamentals of Dust Formation and Evolved Stars

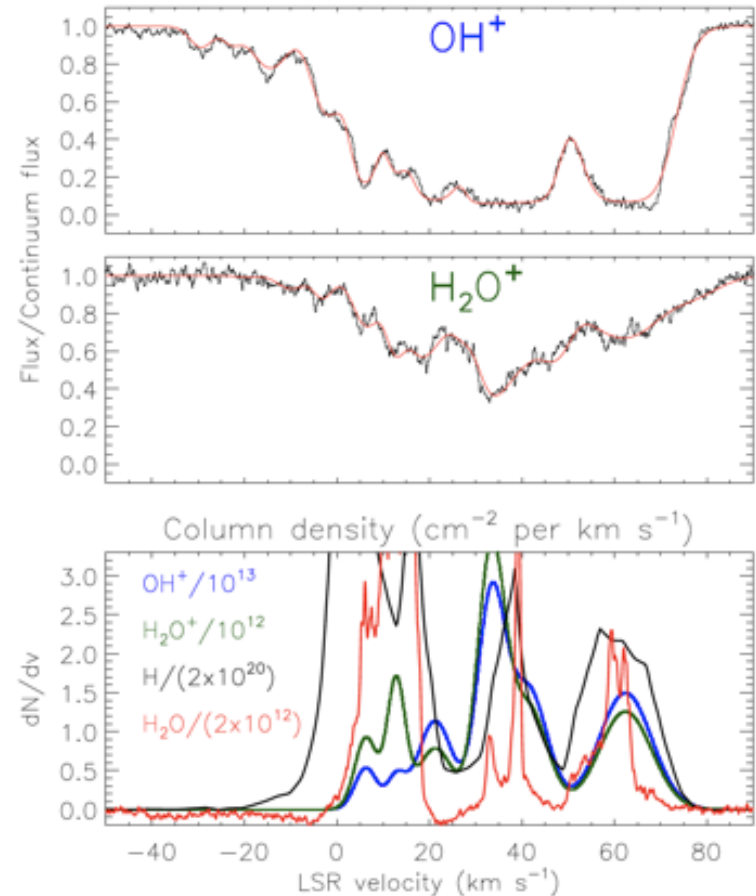




Cosmic Ray Flux in the Milky Way and Nearby Galaxies

Low-energy cosmic-rays (CR) **control the heating, ionization and chemistry** of dense molecular clouds. In 100 hours, high-resolution spectroscopy with HERO can determine **cosmic ray ionization rate** along 40 sight-lines — addressing key questions about **the origin and distribution of cosmic rays**.

- (1) what is the typical The Cosmic Ray Flux in the Milky Way and Nearby Galaxies as a function of Galactocentric distance?
- (2) how much does the CRIR vary from one molecular cloud to another?
- (3) to what extent are CR excluded from dense molecular clouds?
- (4) what are the sources (e.g. SNR) of low-energy CR?



Herschel/HIFI absorption spectra obtained toward an extremely bright continuum source (Neufeld+ 2010)

Dust Formation around Evolved Stars

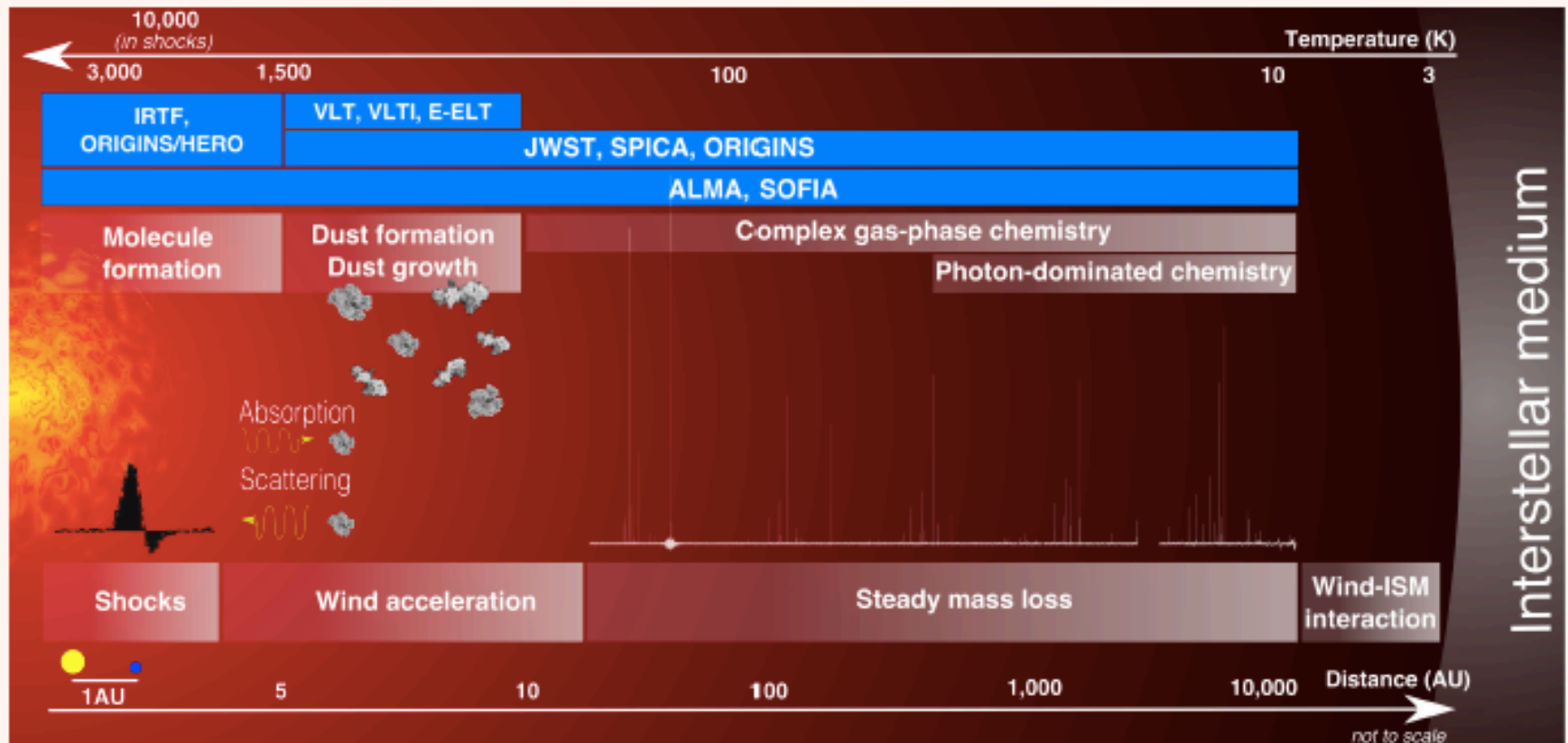


FIGURE 2: AGB outflow structure. *White boxes:* major chemical and physical processes, *blue boxes:* contribution of existing, planned, and proposed facilities.

Evolved Stars

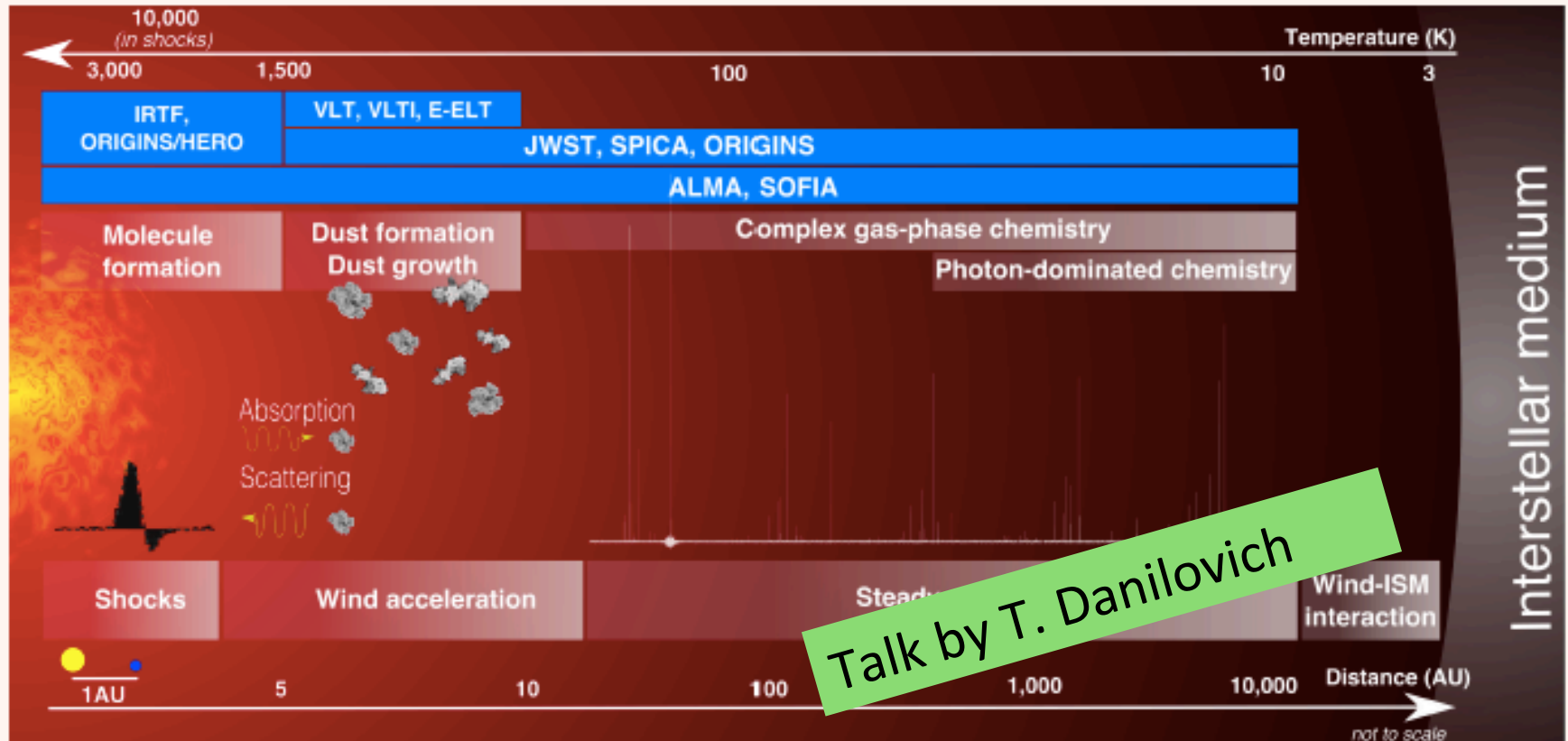
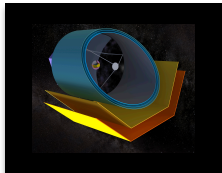


FIGURE 2: AGB outflow structure. *White boxes:* major chemical and physical processes, *blue boxes:* contribution of existing, planned, and proposed facilities.

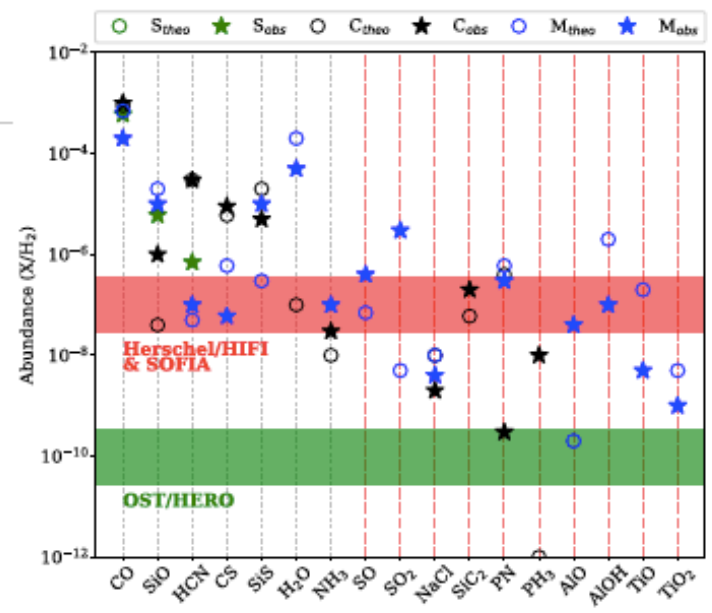


The birth path of dust

GAS >>> SOLID

- Identify molecules responsible for the formation of grains
- Identify molecules involved in the growth of grains
- Characterise cold dust

Goal



Observations

HERO: High-excitation transitions (> a few 100K) of some usual suspects containing e.g. Si, Al, Ti, Mg, Ca

+

OSS: MIR/FIR continuum observations of cold dust.

Models / results

Gas phase: depletion, in-situ formation (e.g. evaporation)

Solid phase: first grains (seeds), grain growth

Mixed: grain-surface reactions

Mass-loss determination and wind dynamics: dust properties as input

Outflows of evolved stars are the **only** sites where we can directly probe **dust grain formation!**

Heterodyne resolution is essential to disentangle the physics and the chemistry!

Science Traceability

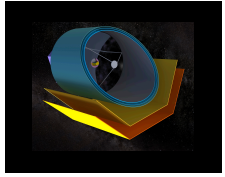
| Science Objectives (Wide ranging. We highlight examples.) | Science Requirements | | Instrument Requirements | | | |
|---|--|--|--|--|----------------------------|---|
| | Science Observable | Measurement Requirement | Parameter | Technical Requirement | Ins | CBE Performance |
| Case #3: Measure the water mass at all evolutionary stages of star and planet formation and across the range of stellar masses, tracing water vapor and ice at all temperatures between 10 and 1000 K. (Section B.2; An upscope science case to Theme-2 Objective #1) | Measure water (H ₂ O) content of starless cores in diverse environments. High spectral resolution follow up of young disks in proto-stars to determine the distribution of water within the disks | Line flux density of ortho H ₂ O 538 μm, para H ₂ O 269 μm, and other low energy transitions of water and its isotopes to sensitivity limit $1 \times 10^{-20} \text{ W m}^{-2}$ (3 mK) per 0.3 km s ⁻¹ velocity channel (5σ) to obtain spectrally resolved line profiles of 10 known starless cores and young disks in 100 h with spatial resolution $\leq 0.1 \text{ pc}$ | Wavelength range | 180 μm to 550 μm | HERO (Up-scope Instrument) | 111–617 μm |
| | | | Spectral resolving power $R = \lambda / \Delta\lambda$ | $\geq 10^6$ for velocity resolution | | up to 10^7 |
| | | | Angular resolution | $\leq 25''$ at 538 μm to resolve starless cores | | 23'' at 538 μm |
| | | | Field of view | 1' x 1' to map starless cores | | 2' x 2' |
| | | | Spectral line sensitivity | $1 \times 10^{-20} \text{ W m}^{-2}$ per velocity channel (3 mK), 1 h (5σ) | | $6 \times 10^{-21} \text{ W m}^{-2}$ per velocity channel at 538 μm, 1 h (5σ) |

Requirements:

- 180 to 550 microns
- $> 10^6$ resolution
- $< 25''$
- 1' x 1' array
- $1 \times 10^{-20} \text{ Wm}^{-2}$

HEterodyne Receiver for Origins (Modest Imaging Spectrometer)





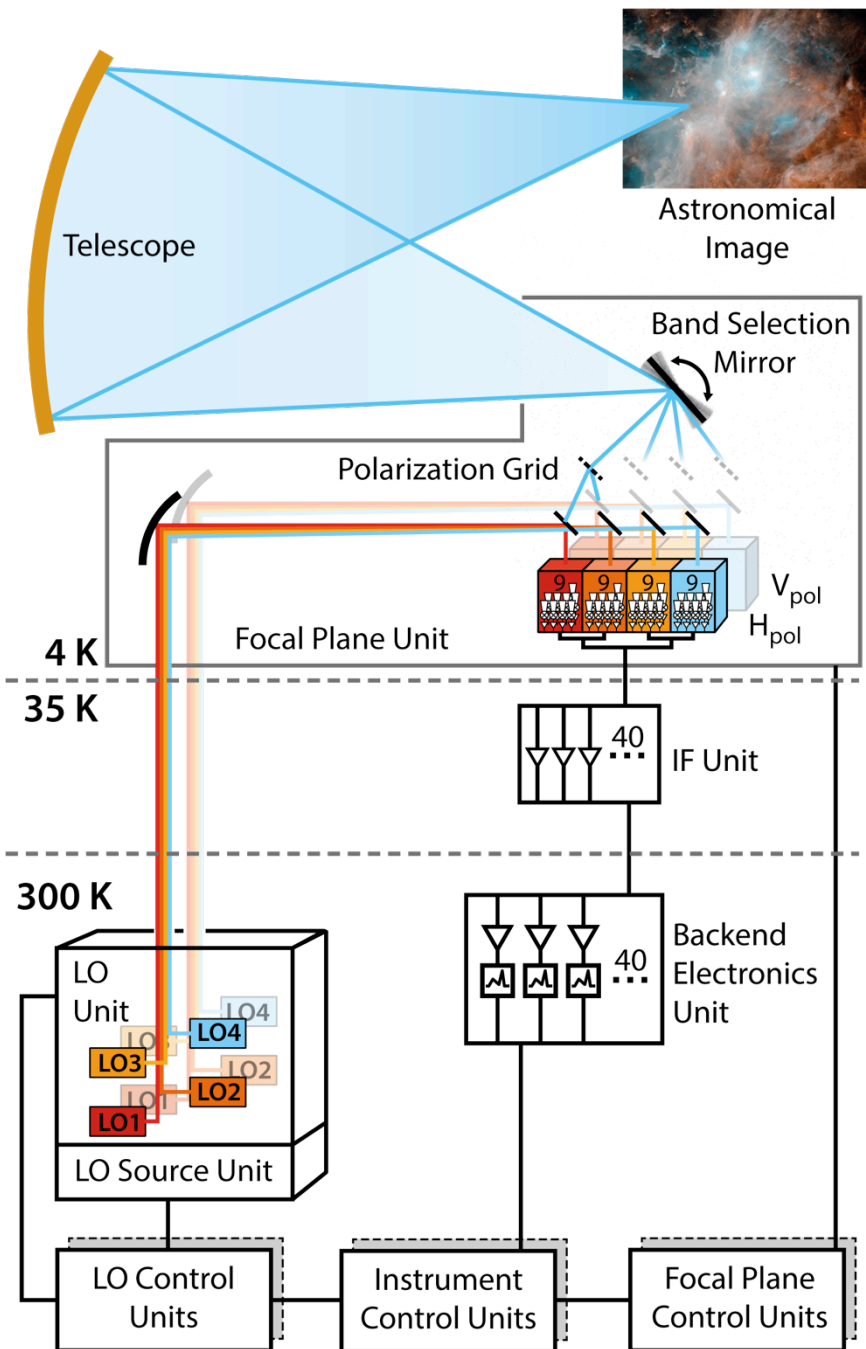
Little HERO fact sheet

| Col. | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|------|--------------|--------------|-------------------|-------------------|-----------------------------|--------|-------|----------|--|---------|---|---|
| Band | ν_{\min} | ν_{\max} | λ_{\max} | λ_{\min} | Max $\Delta\lambda/\lambda$ | IF BW2 | Mixer | # pixels | Line | Trx | T_{rms} (mK) | Line flux per time |
| | (GHz) | (GHz) | (μm) | (μm) | | km/s | Type | HERO | | K (DSB) | in 1h at $\lambda/\Delta\lambda = 10^6$ | $\text{W m}^{-2} \text{s}^{0.5}$, 9m, 5 σ |
| 1 | 486 | 756 | 617 | 397 | 10^7 | 3865 | SIS | 2x9 | $\text{H}_2\text{O}, \text{H}_2^{18\text{O}}, \text{HDO}, \text{NH}_3$ | 50 | 2.6 | 6.4 E-21 |
| 2 | 756 | 1188 | 397 | 252 | 10^7 | 2469 | SIS | 2x9 | $\text{H}_2\text{O}, \text{H}_2^{18\text{O}}, \text{H}_3\text{O}^+$ | 100 | 4.2 | 1.6 E-20 |
| 3 | 1188 | 1782 | 252 | 168 | 10^7 | 1616 | HEB | 2x9 | $\text{H}_2\text{O}, \text{H}_2^{18\text{O}}, \text{H}_3\text{O}^+, \text{NH}_3, \text{N}^+$ | 200 | 6.8 | 4.0 E-20 |
| 4 | 1782 | 2700 | 168 | 111 | 10^7 | 1071 | HEB | 2x9 | HD, C^+ | 300 | 8.4 | 7.3 E-20 |

Molecular line observations required for water trail theme

12 Receiver noise for 1h integration at 10^6 resolution (0.3 km/s) using one polarization.

13 Detectable point source line flux at 5 sigma, for 1h pointed integration (on+off source) in two polarization, with a 5.9 m primary mirror (coll area 25m^2 , app eff 0.8) as designed for OST Concept 2.



Heterodyne Focal Plane Array with wide RF

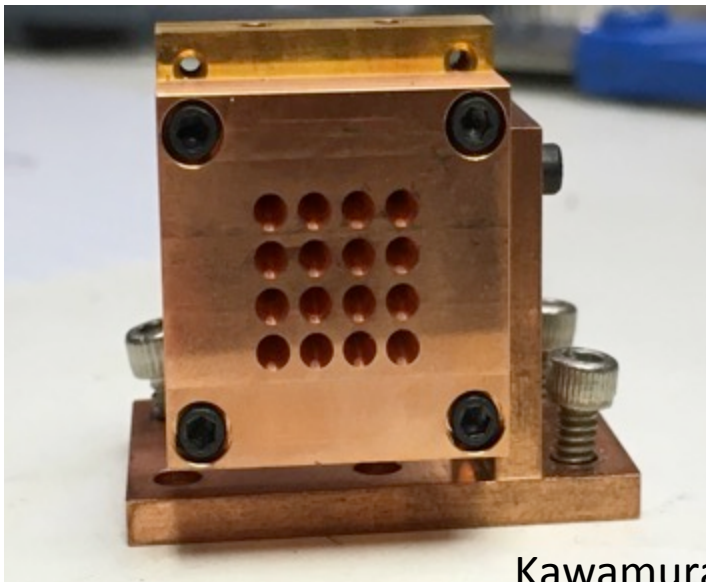
- $R = 10^6$ to 10^7
- 486 – 2700 GHz
- 6 GHz IF (goal 8 GHz)
- 3 x 3 FPA
- 2 polarizations

Satellite Constraints:

- Cooling power
- Power
- Mass, Volume

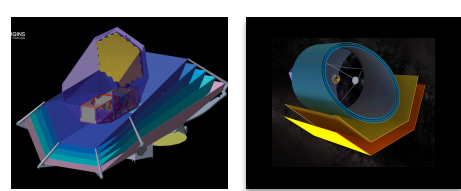
Mixers

- 3 x 3 x 2 polarizations SIS, 486-1188 GHz, 8 GHz BW
- 3 x 3 x 2 polarizations HEB, 1188 – 2700 GHz, 8GHz BW



Kawamura

- LO and Sky injected in orthogonal polarizations
- 1 mixer per array, sidband separating – for sideband calibration
- SIS 10mm spacing
- HEB 5mm spacing
- On sky 2FWHM spacing

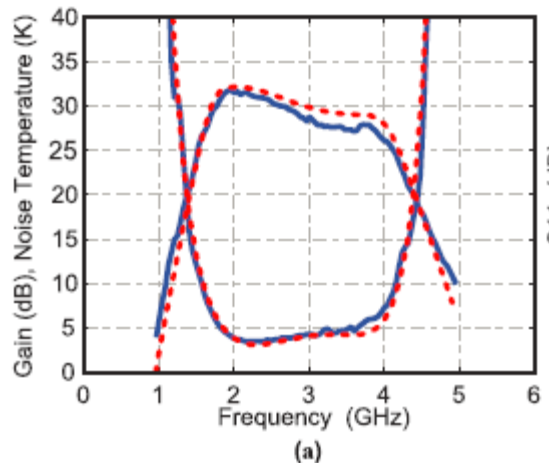


SiGe Amplifiers – Innovative technology

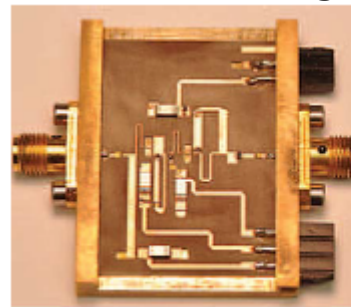
IEEE TRANSACTIONS ON MICROWAVE THEORY AND TECHNIQUES, VOL. 64, NO. 1, JANUARY 2016

Ultra-Low-Power Cryogenic SiGe Low-Noise Amplifiers: Theory and Demonstration

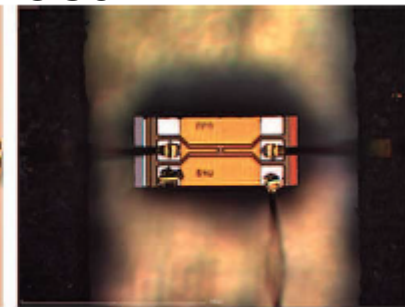
Shirin Montazeri, *Student Member, IEEE*, Wei-Ting Wong, *Student Member, IEEE*,
Ahmet H. Coskun, *Student Member, IEEE*, and Joseph C. Bardin, *Member, IEEE*



Band= 1.8-3.6 GHz
P_{dis}= 0.3 mW
IBM BiCMOS8HP



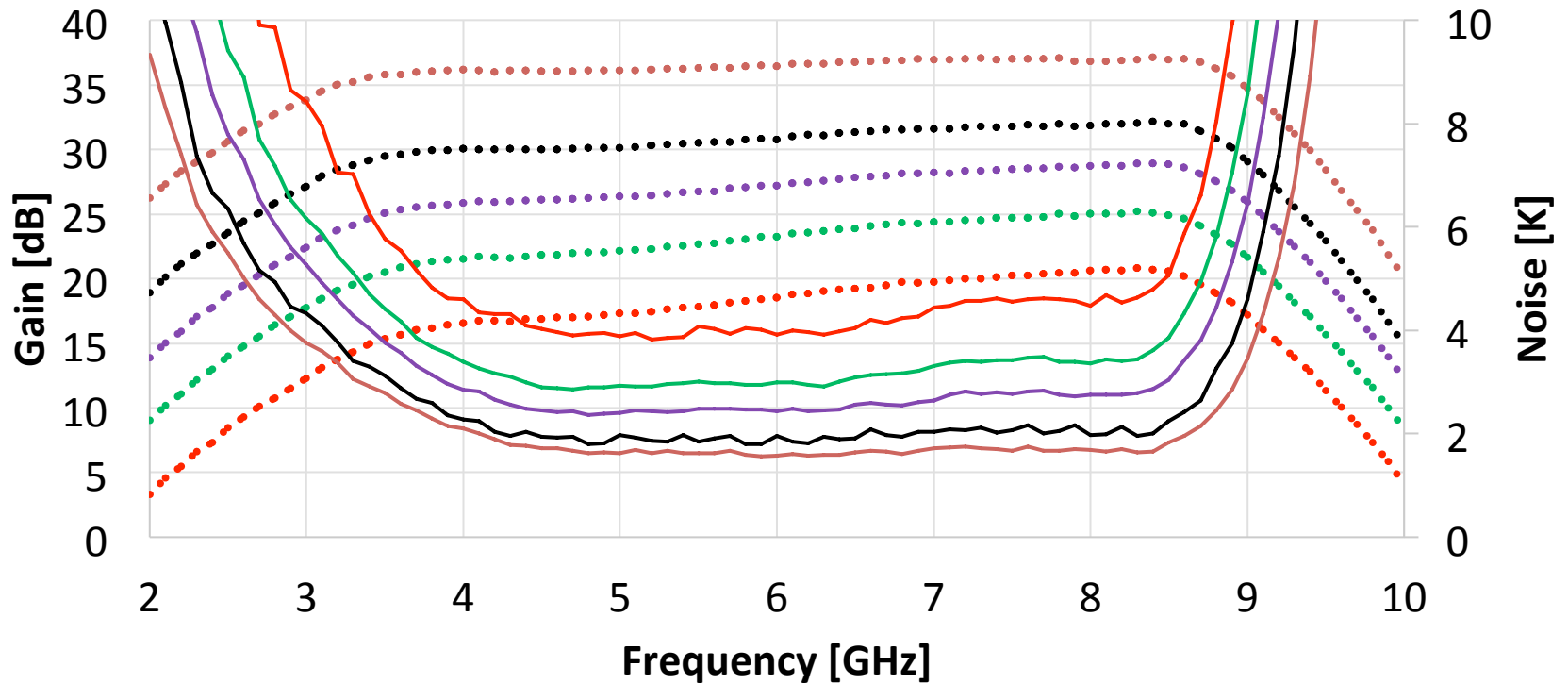
(a)



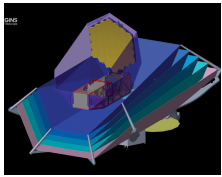
(b)

InP Amplifiers

Gain and Noise



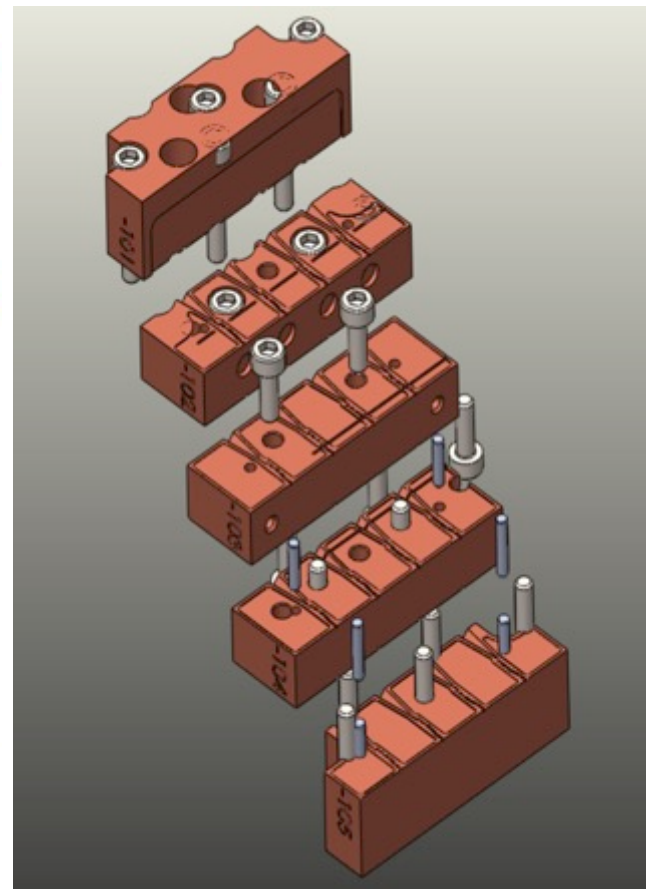
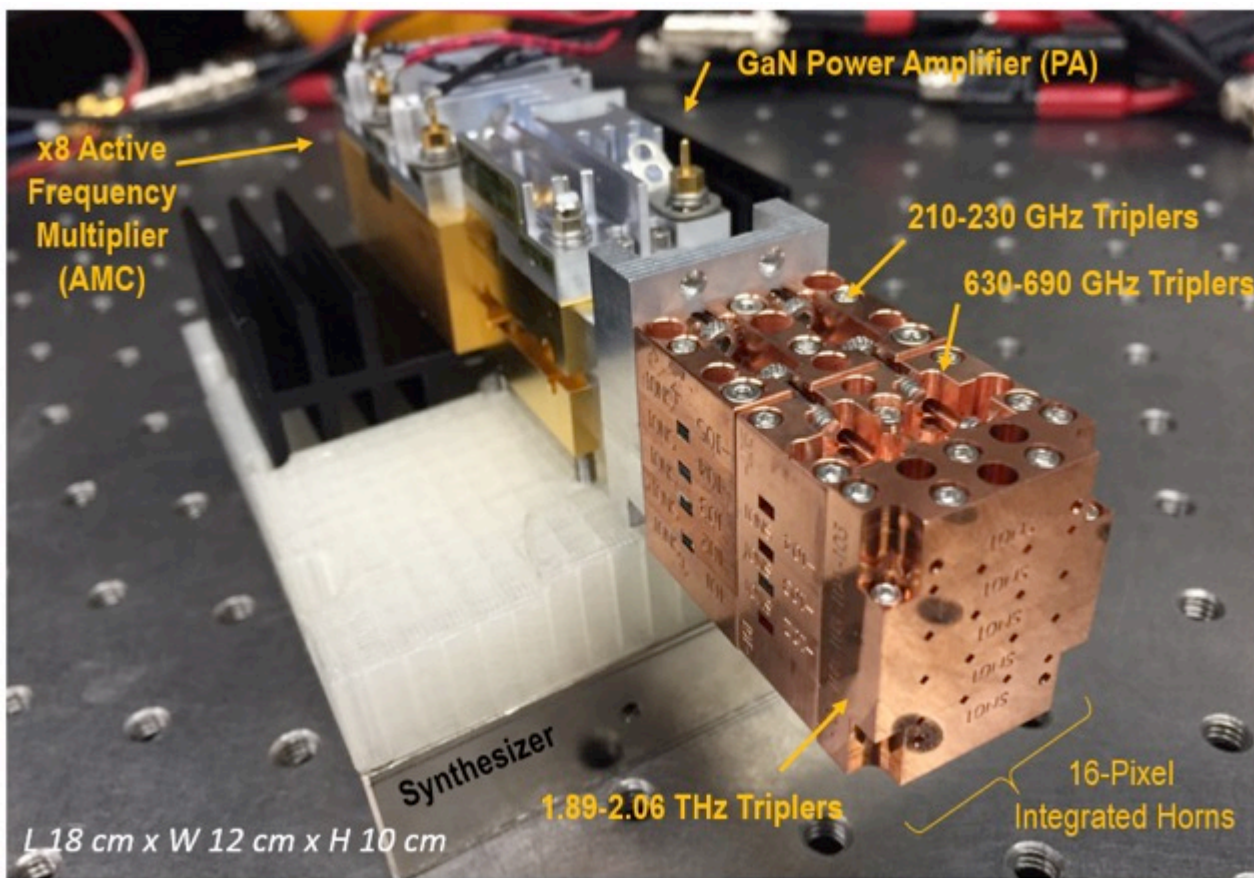
— Noise [K] 200µW — Noise [K] 380µW — Noise [K] 750µW
— Noise [K] 1.5mW — Noise [K] 6mW



Local Oscillator

- Amplifier-Multiplier chains at room temp (1W/pixel)
- Beam division in AMC
- LO source unit around 100GHz (1W/pixels)

16-pixel 1.9 THz LO system: STACKING



The LO module can be mounted with either two or four 1x4 pixel layers vertically stacked to form 8-pixels or 16-pixel configurations..

Power Consumption= 2.3 Watts/pixel or 1.25 Watts/pixel using W-band CMOS synthesizers

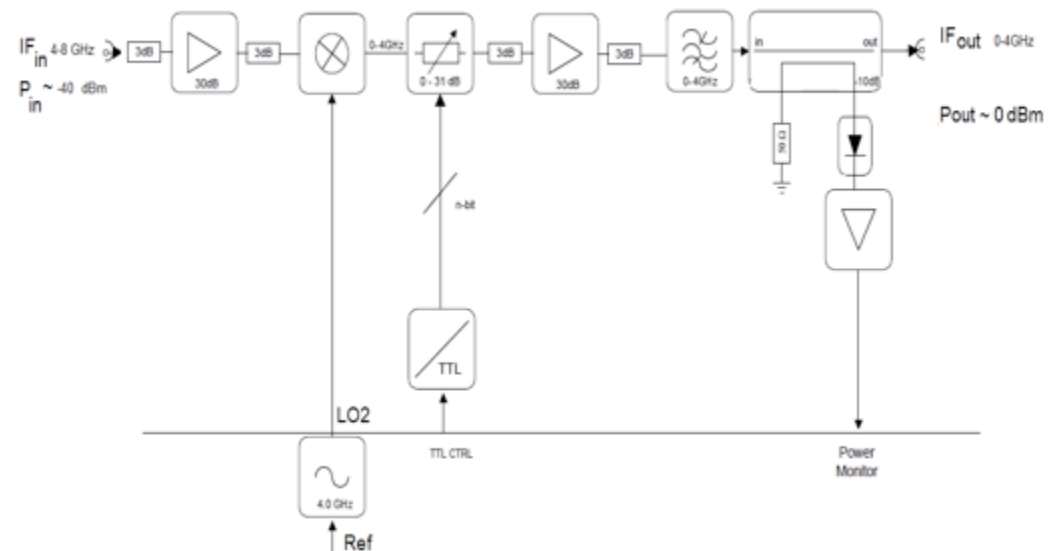
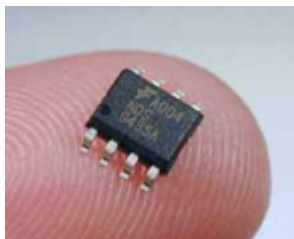
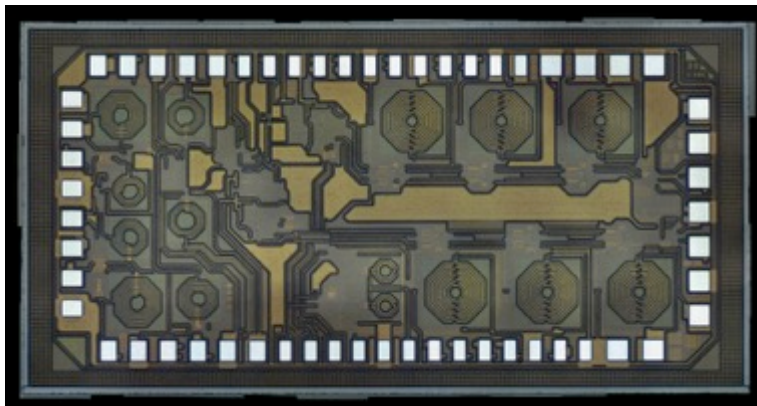
X3X3X3 Architecture





Warm IF chain

- For many channels WIFC using IC instead of individual components
 - built on one Complementary Metal-Oxide Semiconductor (CMOS) chip that is approximately 1.5mm x 1.5mm in size.





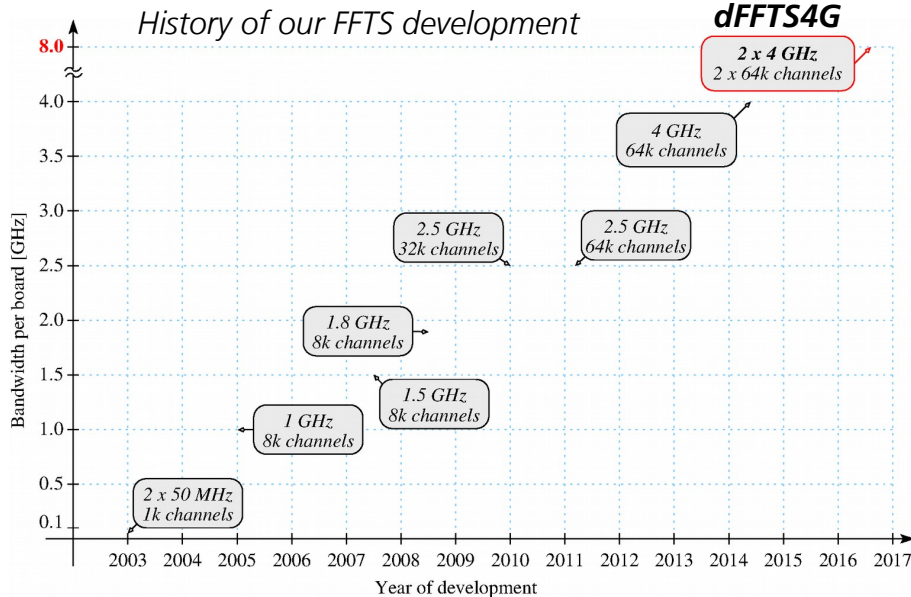
digital
Signal
Processing

dSP



MPIfR dFFTS4G spectrometer

Max-Planck-Institut
für Radioastronomie



Technical data of a dFFTS4G board:

- ➔ Input bandwidth: 2 x 4 GHz (0 – 4 GHz)
- ➔ Spectral channels: 2 x 64k
- ➔ Spectral resolution: 71 kHz (ENBW)
- ➔ Power consumption: max. 70 W (~9 W / GHz)

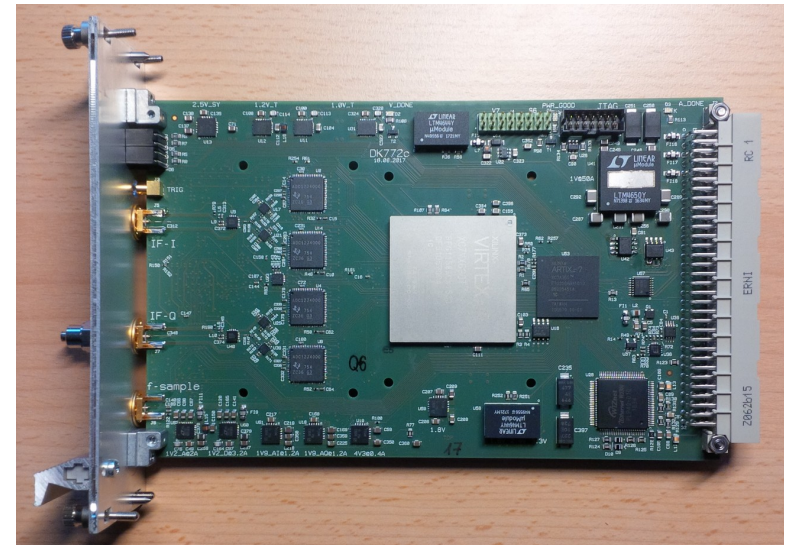


Photo: dFFTS4G spectrometer board



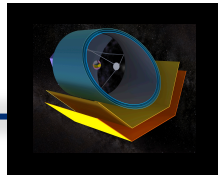
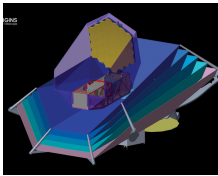
Photo: dFFTS4G spectrometer crate

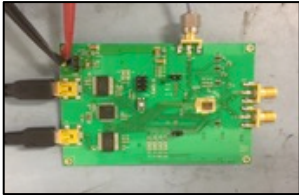
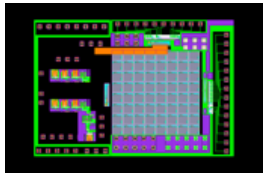
Technical specifications of a dFFTS4G 19" crate :

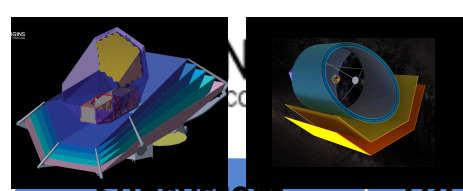
- ➔ Total bandwidth: 8 x 2 x 4 GHz = 64 GHz
- ➔ Spectral channels: 8 x 2 x 64k = 1 Million (1024k)

Bernd Klein, 2018

Existing Spectrometer Comparison

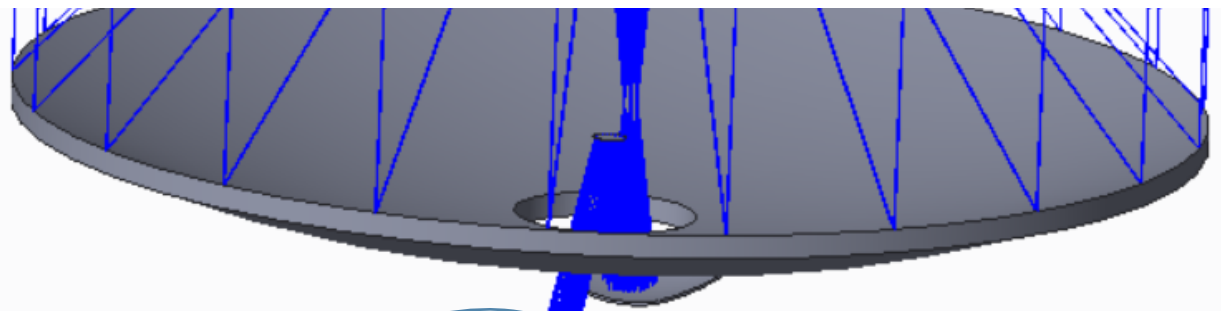


| Design Parameter | Demonstrated CMOS Spectrometer System | |
|---------------------------|--|---|
| | Spectrochip SVII Spectrometer (UCLA/JPL) 2017 [3] | Spectrochip SVIII Spectrometer (UCLA/JPL) Available Late 2018 |
| Processor Bandwidth (MHz) | 3000 | 6000 |
| Channel Count (#) | 4096 | 8192 |
| FFT Window Type | Hanning | PFB |
| FFT Format | Real | Real |
| Bit Resolution (#) | 3 | 3 |
| Power (W) | 1.75 W | 1.65 W |
| Size (cm ³) | 10x8x2 cm | 6x8x2 cm |
| Packaging Technique | Ribbon-Bond | Flip Chip |
| Weight (Kg) | 0.12 Kg | 0.12 Kg |
| Core Technology | 65nm CMOS | 28nm HPC CMOS |
| |  |  |

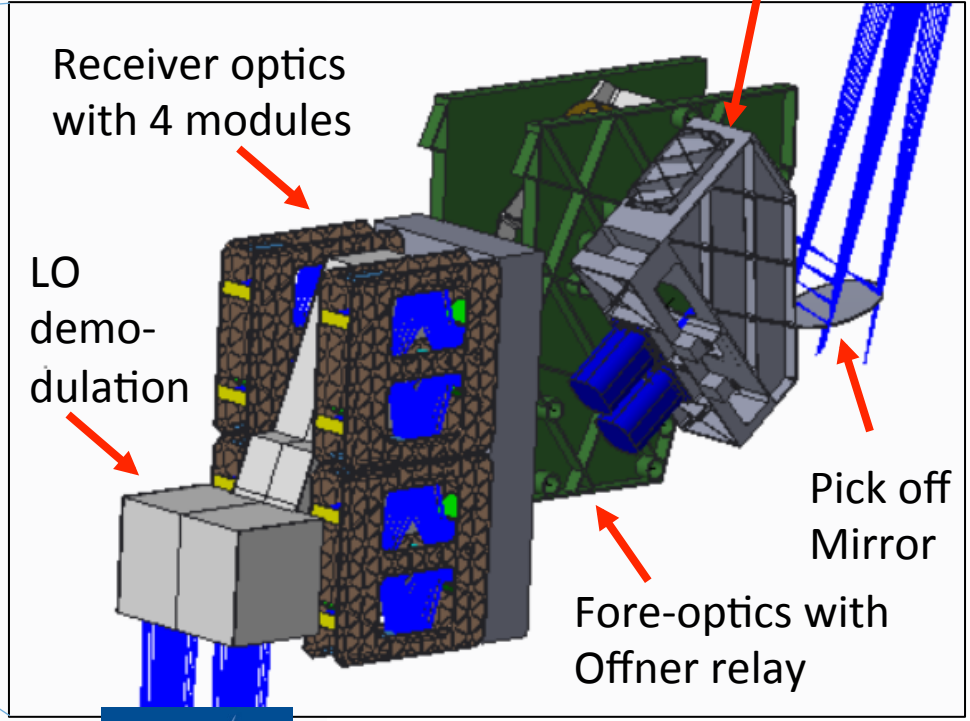
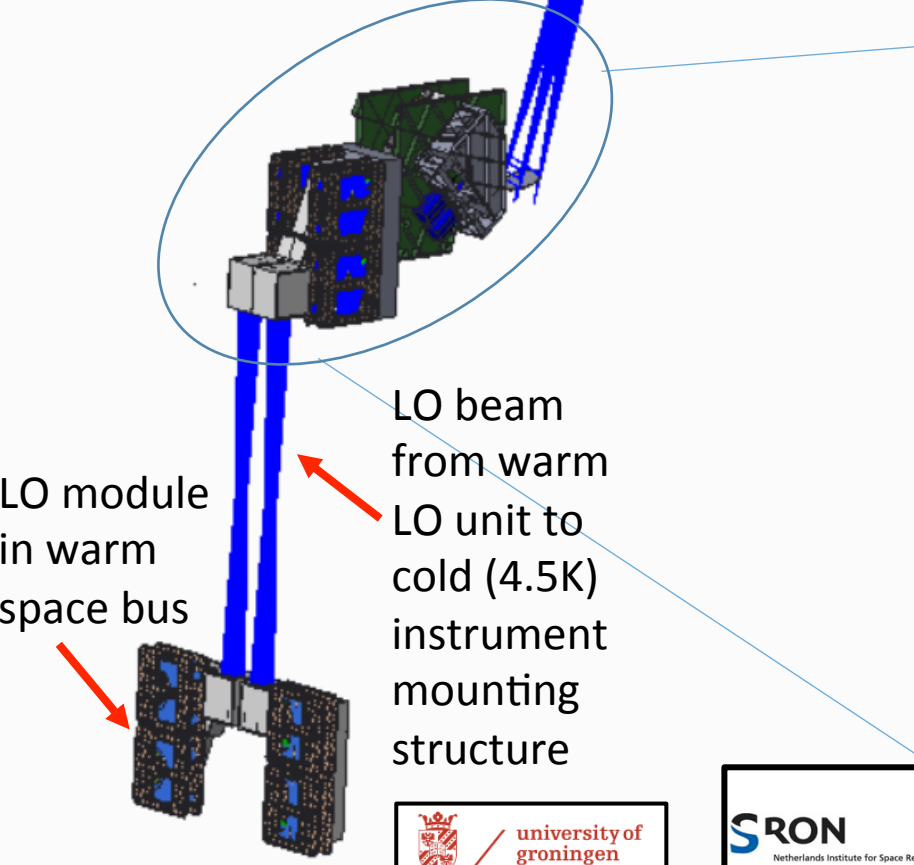


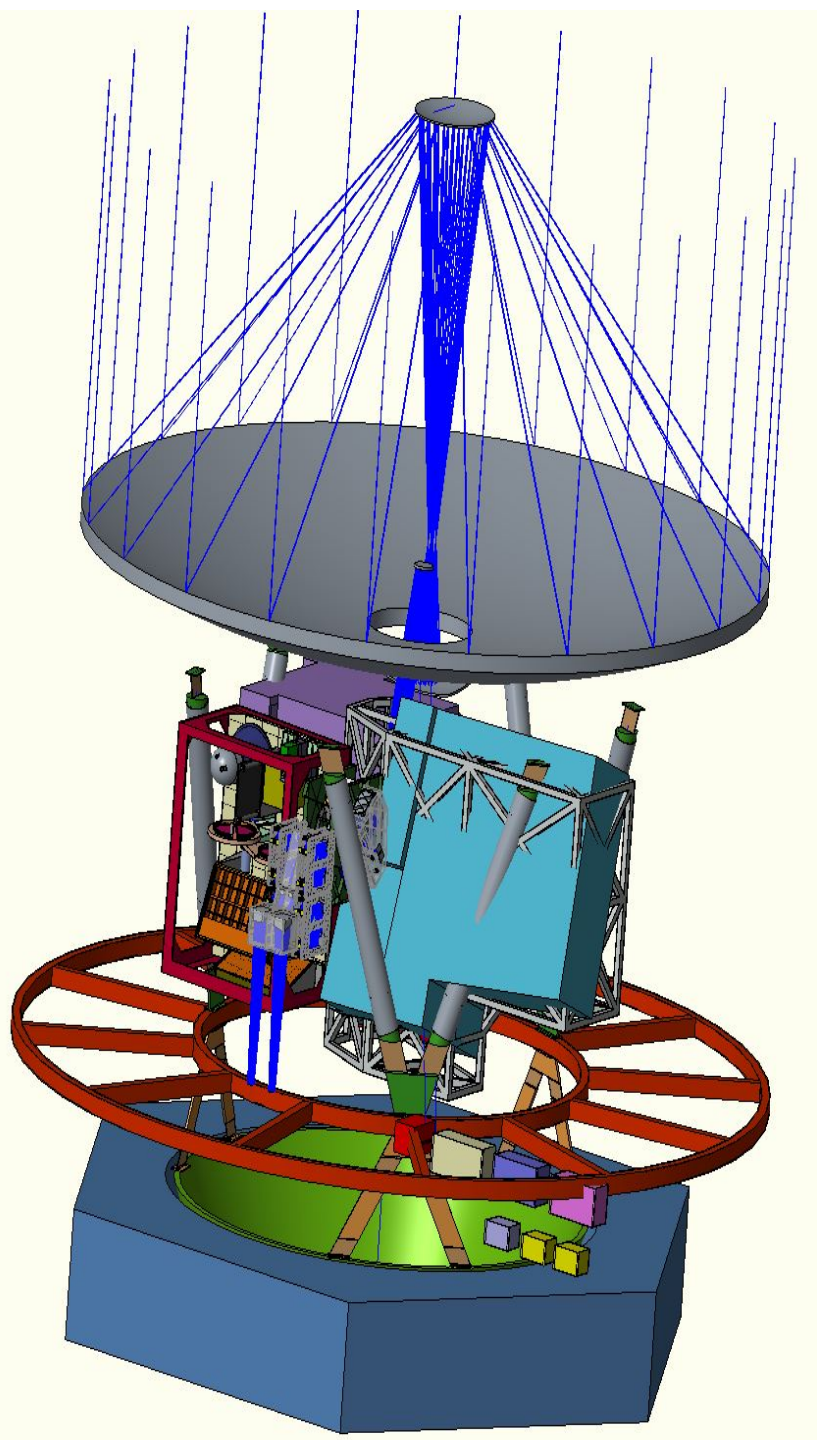
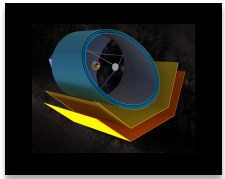
| Subsystem Description | TRL N≤4 | TRL N>4 | Heritage | Comments |
|------------------------|---------|---------|--|--|
| Multiplied LO, f <2THz | 9 | 5 | HERSCHEL, MIRO, STO-2, SOFIA, JUICE(SWI) | CMOS synthesizer for reduced power; higher output power for N>4; compact assembly |
| Multiplied LO, f>2 THz | 6 | 4 | HERSCHEL, STO-2, SOFIA | Higher power handling capability for lower stages; higher output power; CMOS synth; GaN amps |
| HEB mixers | 7/8 | 4 | HERSCHEL, SOFIA, STO-2 | Compact arrays; efficient IF extraction; balanced designs |
| SIS mixers | 8/9 | 5 | HERSCHEL | Compact arrays with efficient IF extraction |
| IF LNAs | 9 | 4 | HERSCHEL | InP technology mature; need to advance SiGe technology with lower DC power |
| Backend | 9 | 4 | STO-2, SOFIA | FPGA systems are mature, however, need ASIC based solutions for large arrays |
| Calibration | 9 | 8 | HERSCHEL, SOFIA, STO-2 | |
| Bias electronics | 9 | 5 | HERSCHEL | Low power electronics, 5 if multiplexing is needed |
| Optical | 9 | 8 | HERSCHEL | Need TRL 5 by 2025 → Detector Roadmap Workshop |
| ICU | 9 | 7 | Herschel | |
| Tip/Tilt mechanis | 8 | 8 | Herschel (one axis) | |

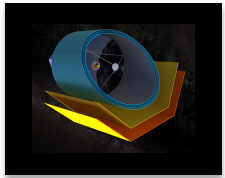
HERO - optics



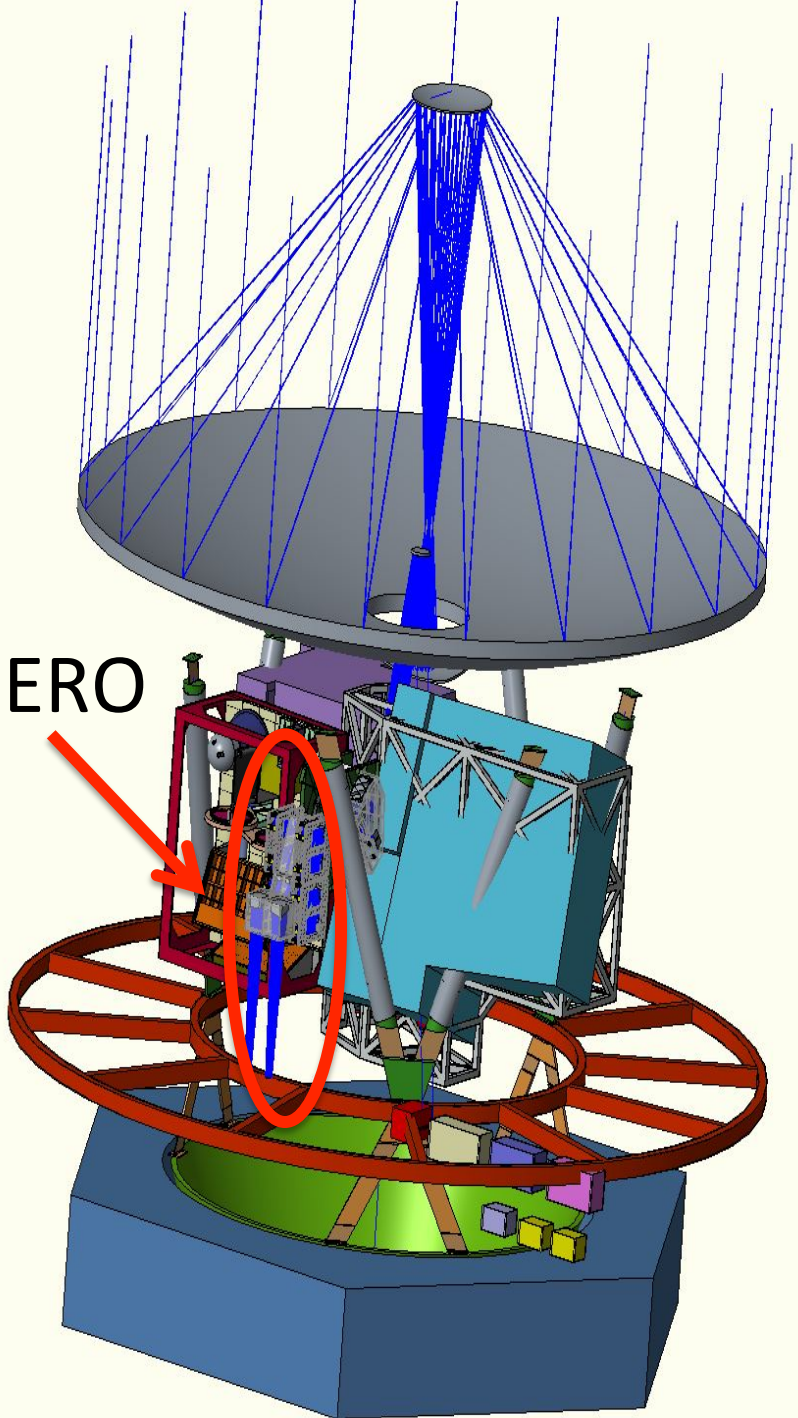
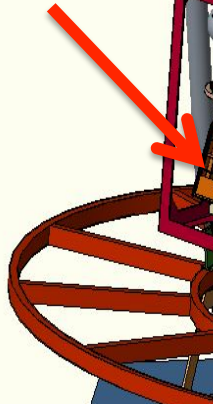
Calibration Unit,
detachable

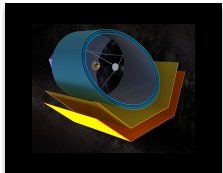




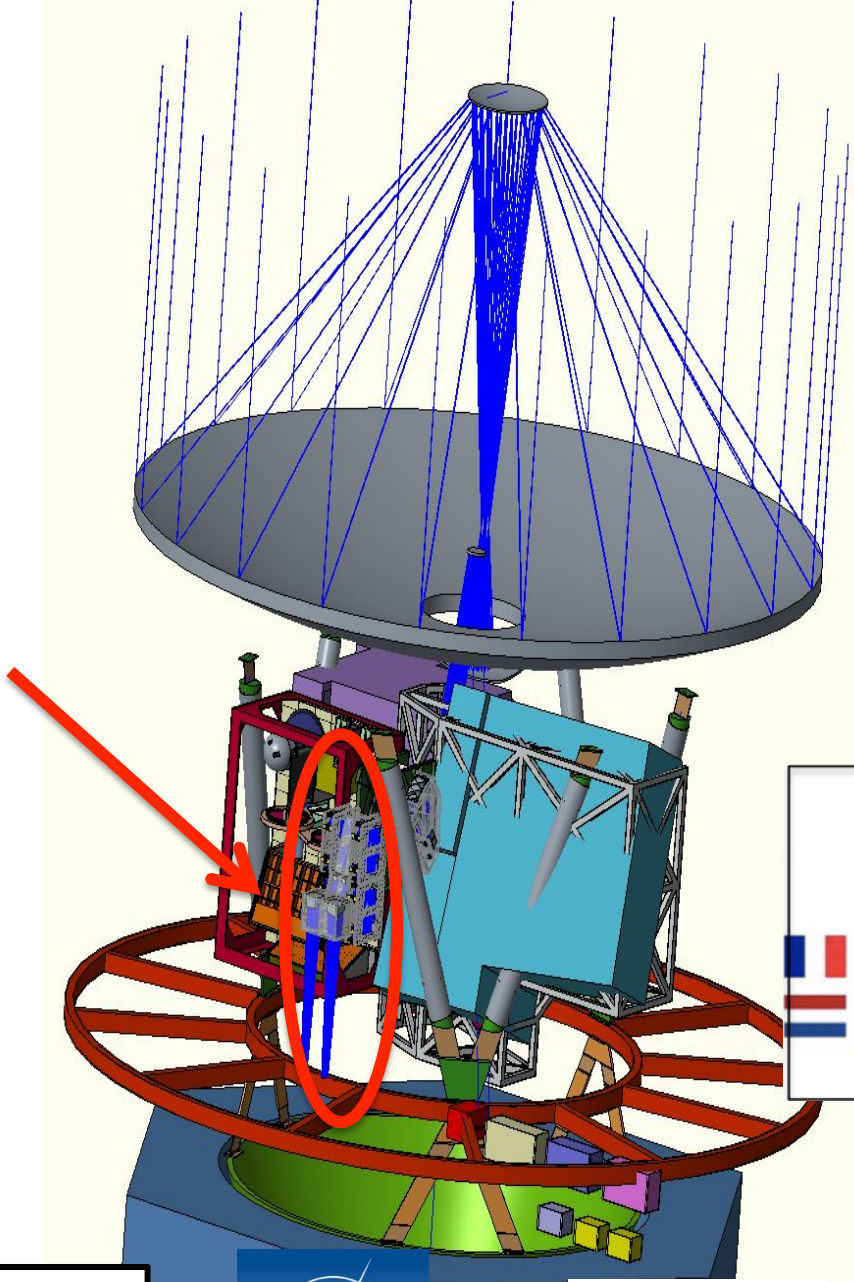


HERO



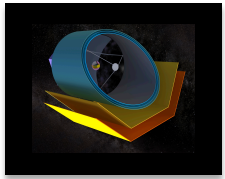


HERO on OST

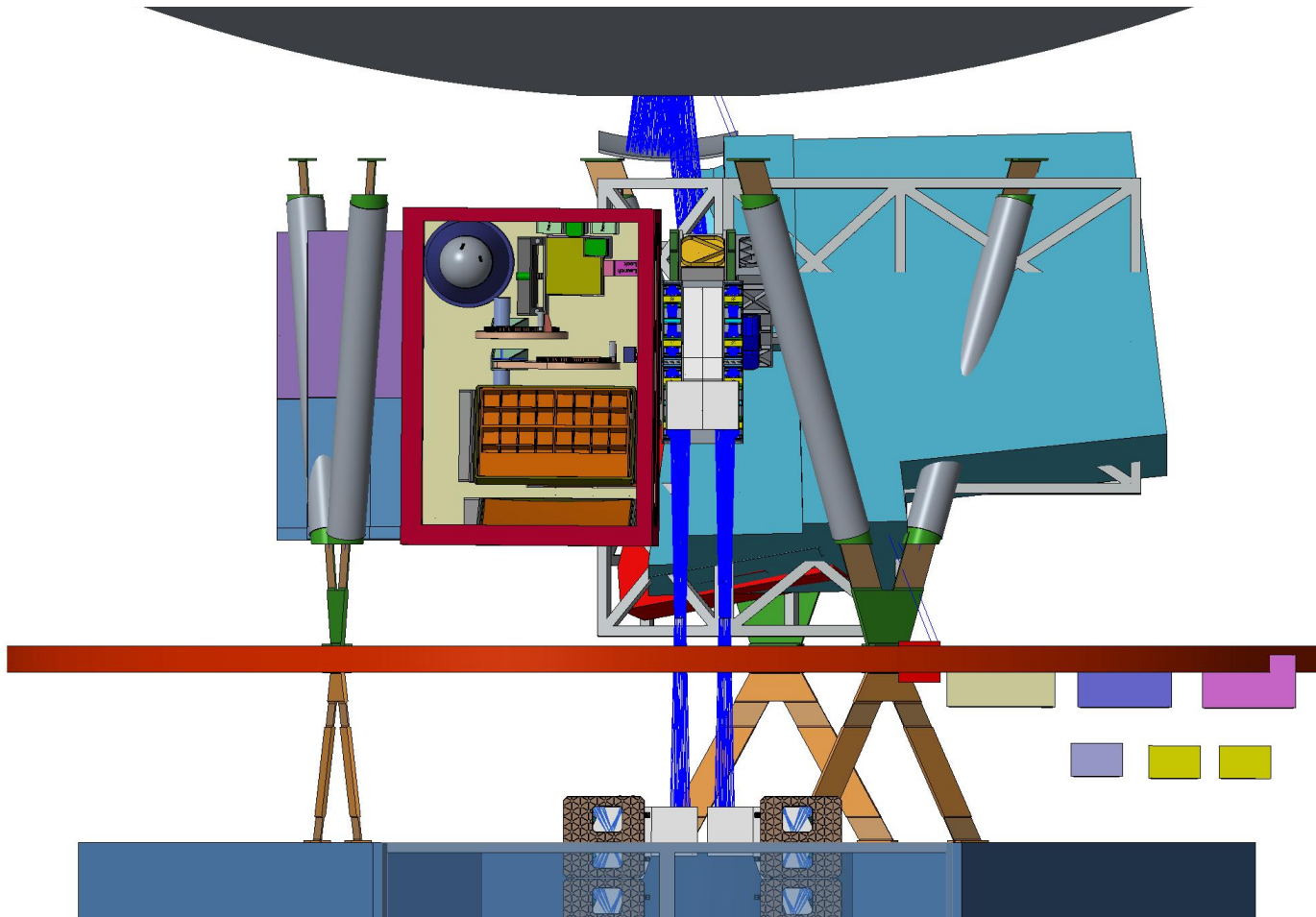


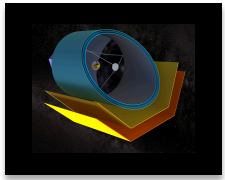
Optical and
Mechanical Design
of little HERO:

- | | | |
|---|--------------------------------------|---|
|  | Willem Jellema (SRON) |  |
|  | Andrey Baryshev (Nova) | |
|  | Richard Hills (MRAO, Cambridge) | |
|  | Bruno Borgo (LESIA, Paris) |  |
|  | Martin Eggers (SRON) | |
|  | Geert Keizer (SRON) | |
|  | Gabby Kroes (NOVA-OIR) | |
|  | Napoléon Nguyen Tuong (LESIA, Paris) | |
|  | Ramon Navarro, NOVA-OIR | |

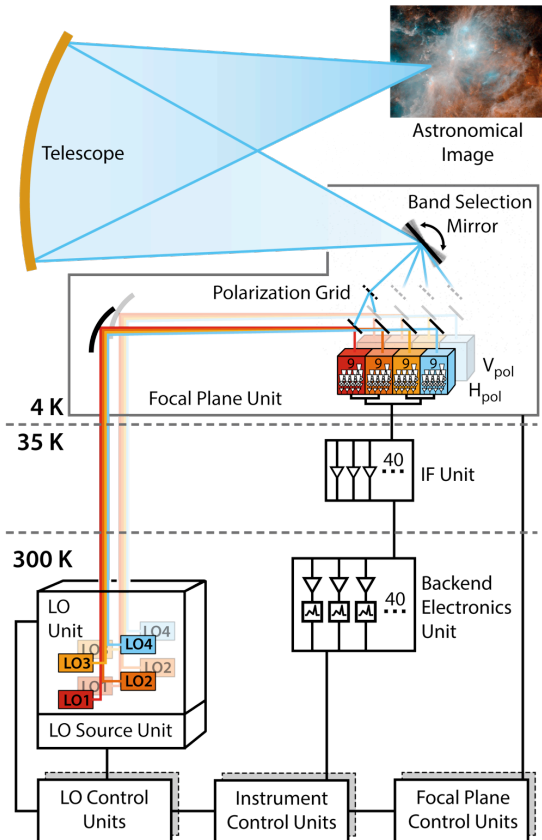


Little HERO on OST 2





Summary (little) HERO



- New generation heterodyne array receiver
- Builds on HIFI/Herschel, (up)GREAT, ALMA experience but surpasses it
- 2x9 pixels in 4 bands
- Frequency coverage: 486 – 2700 GHz
- Easily feasible, high TRL design

Millimetre Heterodyne Instrument for the Far-Infrared (MHIFI)

Bands: **Red Priority-1**; Grey, Possible Bands depending on science cases

Initial design based on Herschel HIFI

| Band | Frequency (GHz/THz) | IFBW (GHz)/ Technology | Polarization | Array size/ Configuration |
|-----------------------|------------------------------|------------------------------|--------------|---------------------------|
| M1 | 485 – 600 | 4-12 /(SIS) | H/V | 3/Triangular |
| M2 | 752 – 950 | 4-12 /(SIS) | H/V | 3/Triangular |
| M3 | 0.95 – 1.15 | 4-12 /(SIS) | H/V | 7/Hexagonal |
| M4 | 1.60 – 2.10 | 1-6 /(HEB) | H/V | 7/Hexagonal |
| M5 | 2.45 – 3.00 | 1-6 /(HEB) | H/V | 7/Hexagonal |
| M6 | 4.77 – 5.8 | 1-6 /(HEB) | H/V | 7/Hexagonal |
| <i>Post-cryo band</i> | <i>500-600 1.05-1.15</i> | <i>4 - 12 (Schottky)</i> | <i>H/V</i> | <i>Schottky diodes</i> |

BARSKIA

THE BIG

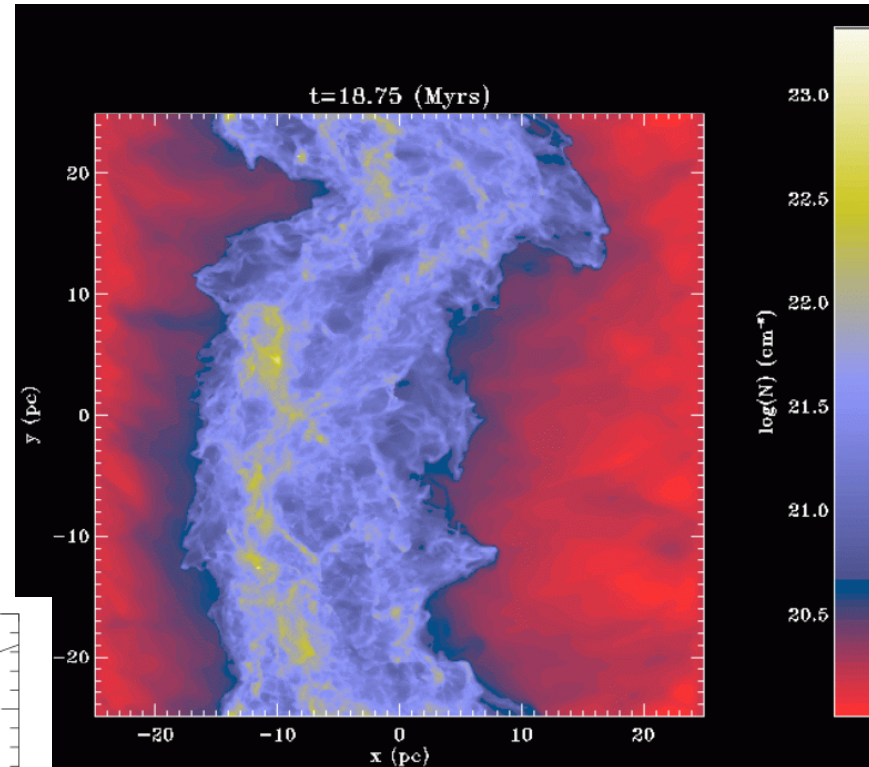
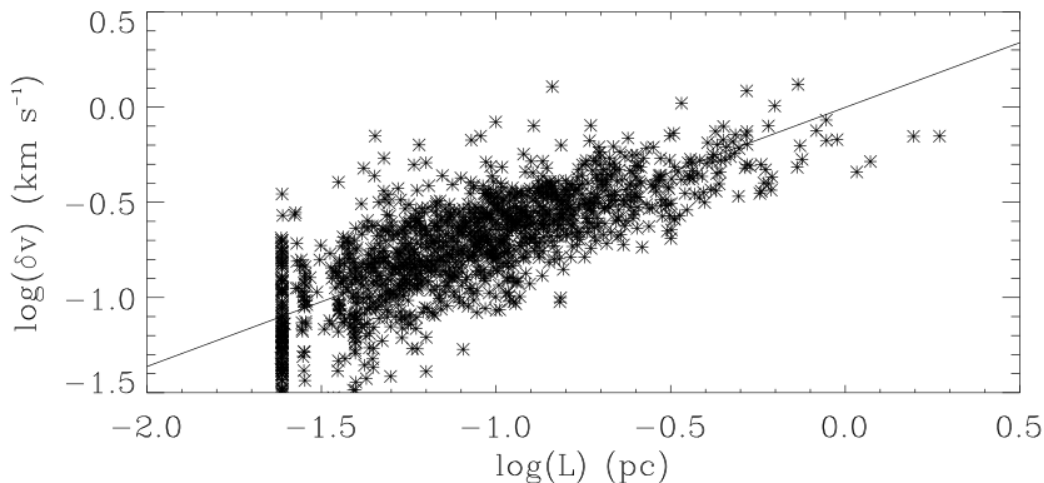


Turbulence in the ISM

ISM feeding as main driver of interstellar turbulence?

- Colliding flows unavoidably create turbulence
 - Mach-number of infall?
 - Impact relative to Galactic shear?
- Flows always chemically unstable
 - CO-dark material tracers

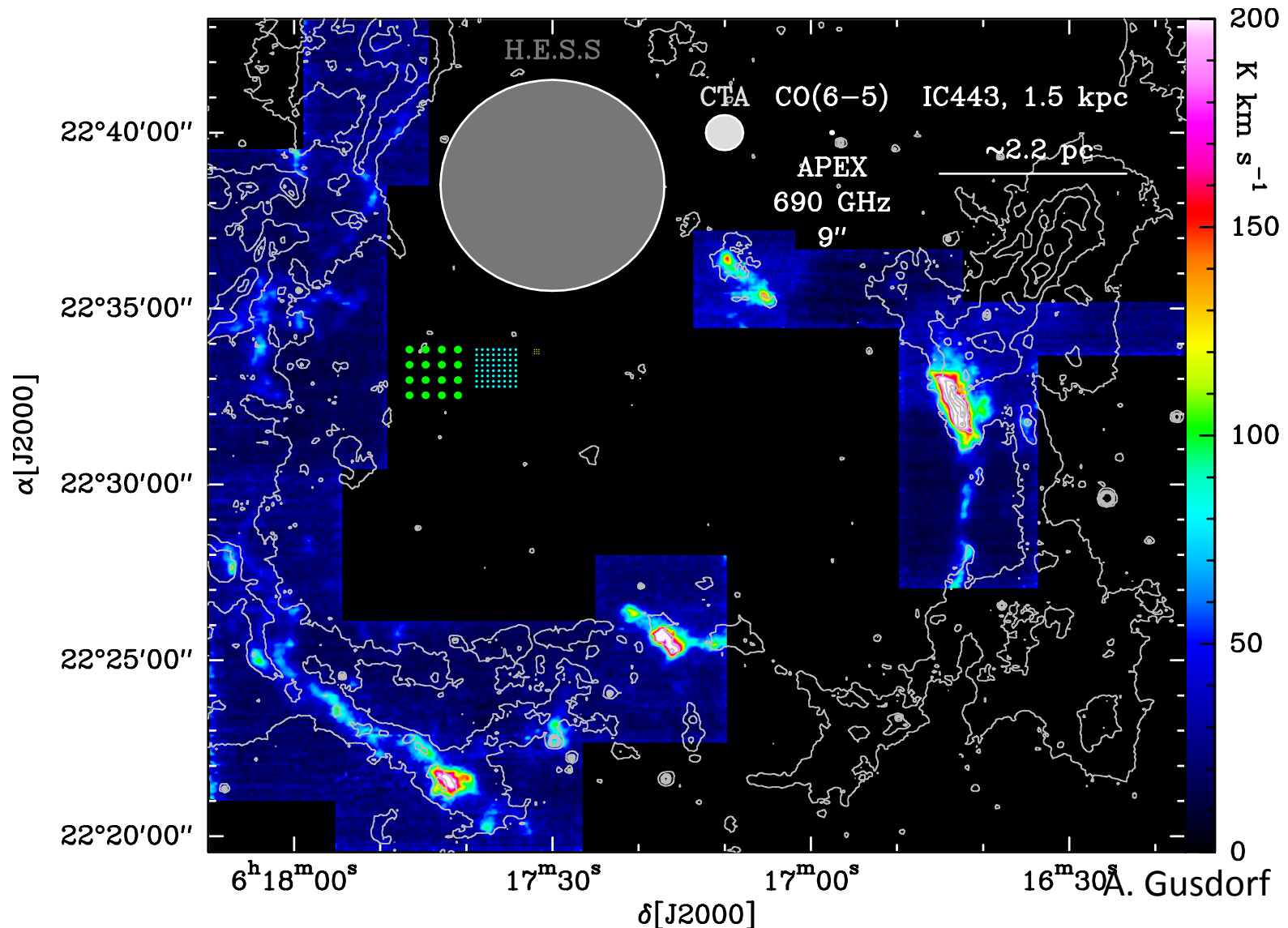
Size-linewidth relation of clumps in colliding flow



Colliding-flow simulation
(column density map)

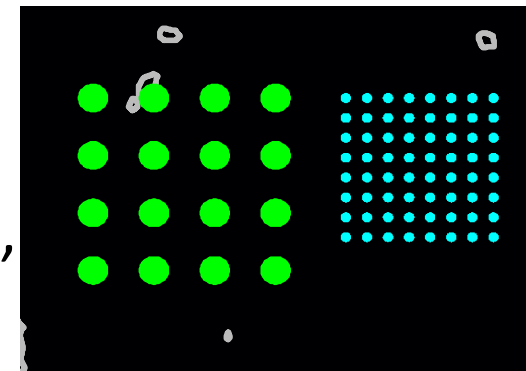
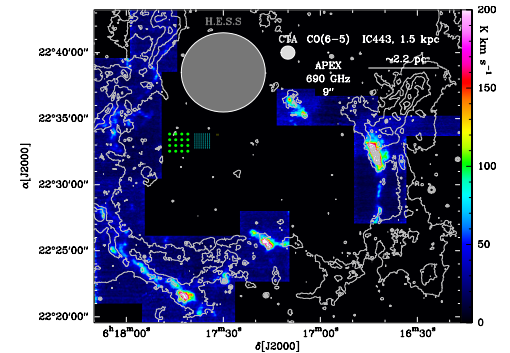
Klessen & Hennebelle (2010)

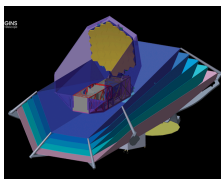
Spectral Line Mapping



Observing modes

- 2 (linear) polarizations (pol. measurements possible)
- Focal Plan Arrays
 - with n pixels,
 - square arrays,
 - Separation two FWHM beams
 - 1 pixel 2SB (for calibration), $n-1$ pixels DSB
- 130 backend readouts,
 - can cover 2 bands/ dual frequency
 - Resolution configurable up to 10^7
- Calibration standard: internal hot/cold/pol,
- Sky chop (up to 3') or selected off,
- Stare, dither, On-the-fly,





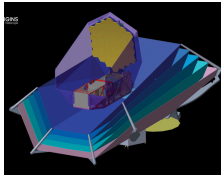
HERO's Fact Sheet

- Around 63 μm , and 111 - 641 μm
- 32 to 128 spatial pixels, each with ~ 8000 spectral channels
- Resolution: up to $\Delta\lambda/\lambda = 10^7$

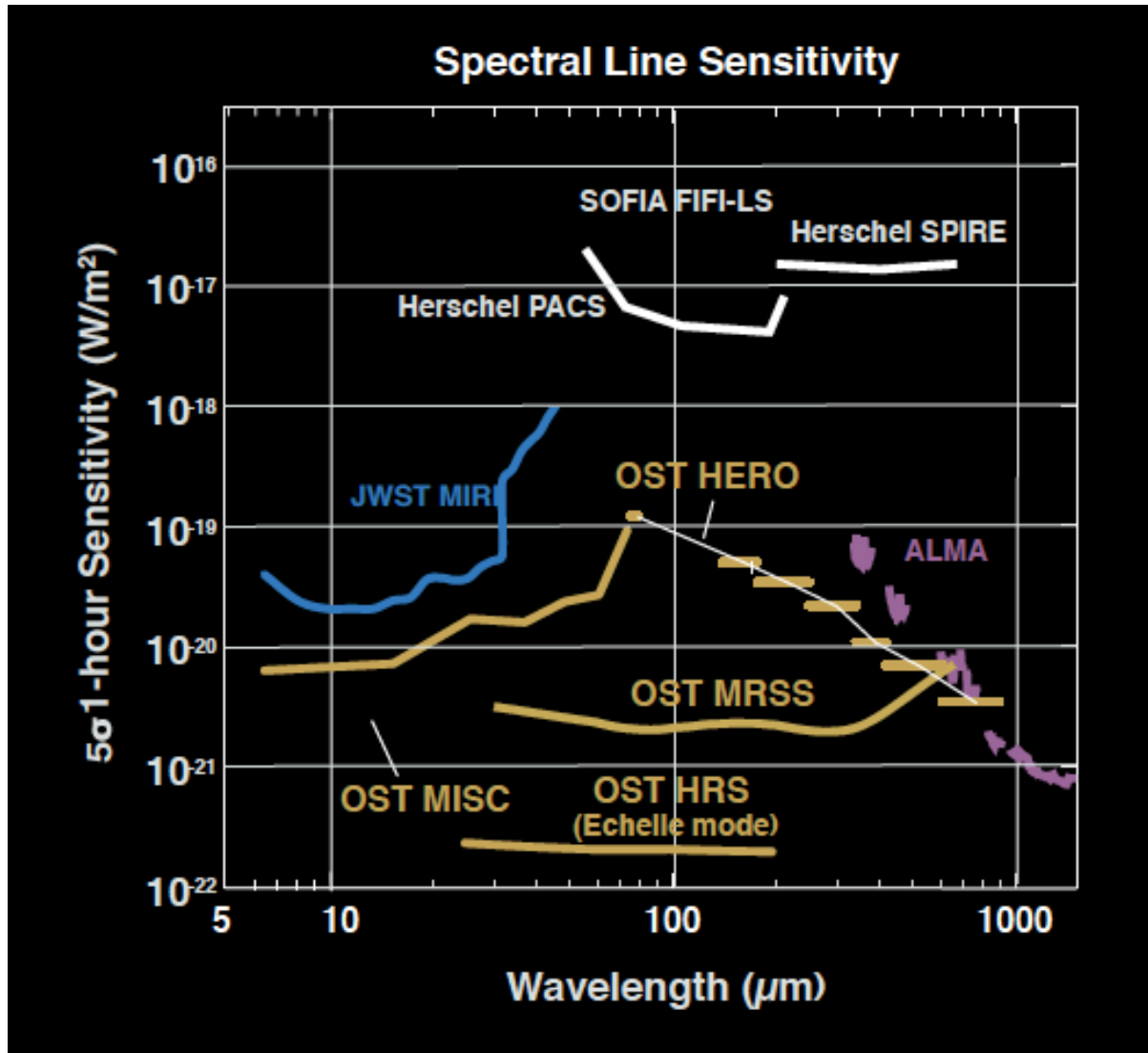
| Col. | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
|------|--------------------|--------------------|------------------------|------------------------|-----------------------------|--------|-------|-----------|----------|---------|---------|---|---|
| Band | ν_{min} | ν_{min} | λ_{max} | λ_{min} | Max $\Delta\lambda/\lambda$ | IF BW2 | Mixer | # pixels | # pixels | Trx | Beam s | T_{rms} (mK) | Line Flux |
| | (GHz) | (GHz) | (μm) | (μm) | | km/s | Type | Fall-back | Goal | K (DSB) | arc sec | in 1h at $\lambda/\Delta\lambda = 10^6$ | W/m^2 at 5σ , 10^6 res 9m tel. 1h |
| 1 | 468 | 648 | 641 | 463 | 10^7 | 4301 | SIS | 2x4 | 2x16 | 40 | 15.2 | 2.0 | 2.1 E-21 |
| 2 | 648 | 900 | 463 | 333 | 10^7 | 3101 | SIS | 2x4 | 2x16 | 80 | 10.9 | 3.4 | 4.9 E-21 |
| 3 | 900 | 1260 | 333 | 238 | 10^7 | 2222 | HEB | 2x4 | 2x64 | 110 | 7.9 | 3.9 | 7.9 E-21 |
| 4 | 1242 | 1836 | 241 | 163 | 10^7 | 1559 | HEB | 2x4 | 2x64 | 200 | 5.6 | 6.0 | 1.7 E-20 |
| 5 | 1836 | 2700 | 163 | 111 | 10^7 | 1058 | HEB | 2x4 | 2x64 | 300 | 3.8 | 7.4 | 3.1 E-20 |
| 6 | 4536 | 4752 | 66 | 63 | 10^7 | 517 | HEB | 2x4 | 2x64 | 500 | 1.8 | 8.6 | 7.5 E-20 |

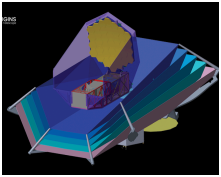
14 Receiver noise for 1h integration at 10^6 resolution (0.3 km/s) using one polarization.

15 Detectable point source line flux at 5 sigma, for 1h pointed integration (on+off source) in two polarization, with a 5.9 m primary mirror (app eff. 0.9) as designed for OST Concept 1. 45

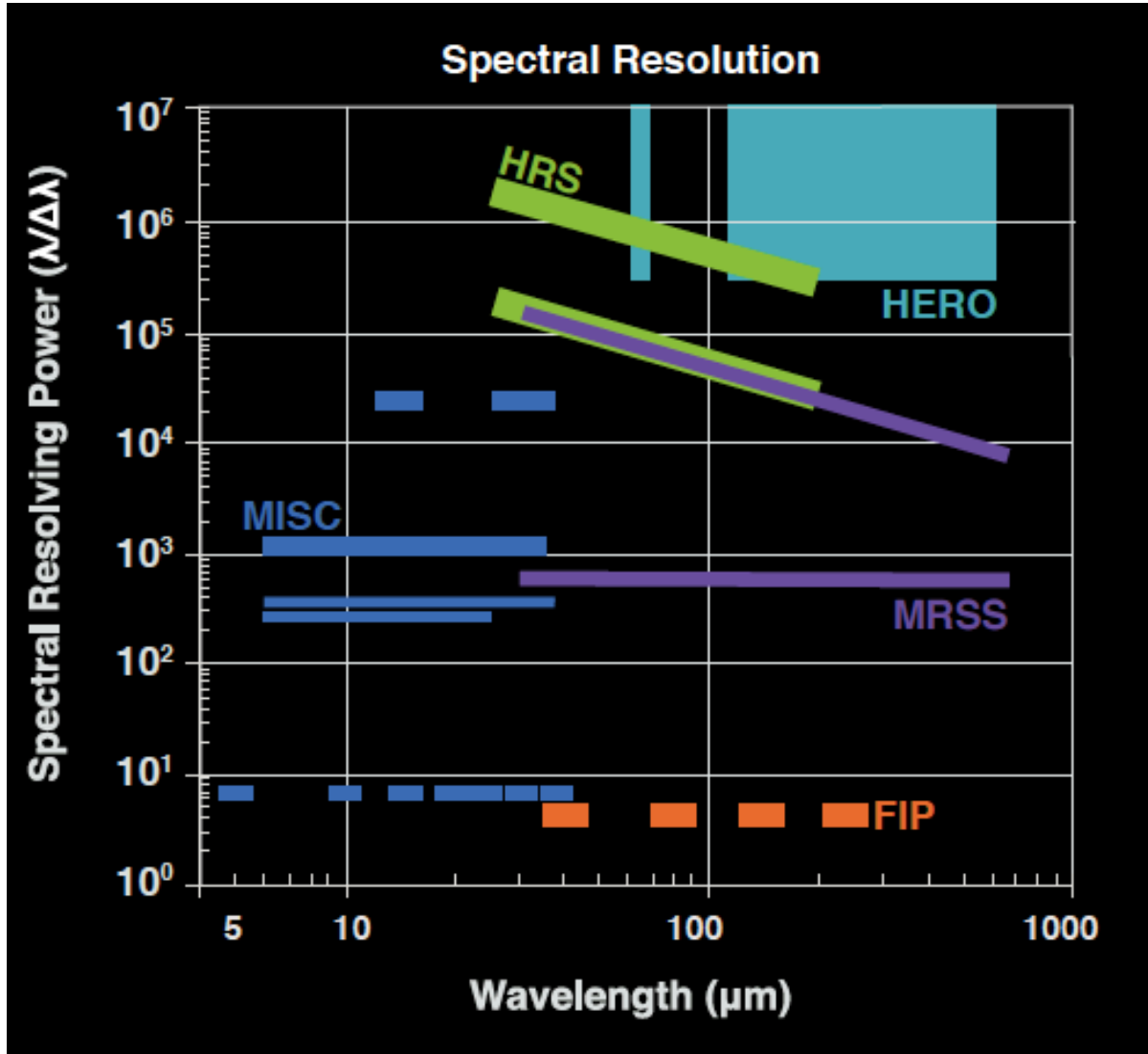


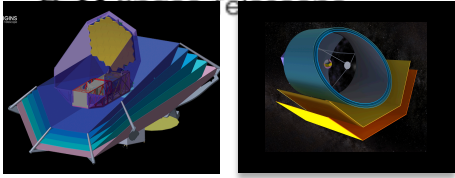
Instrument Performance



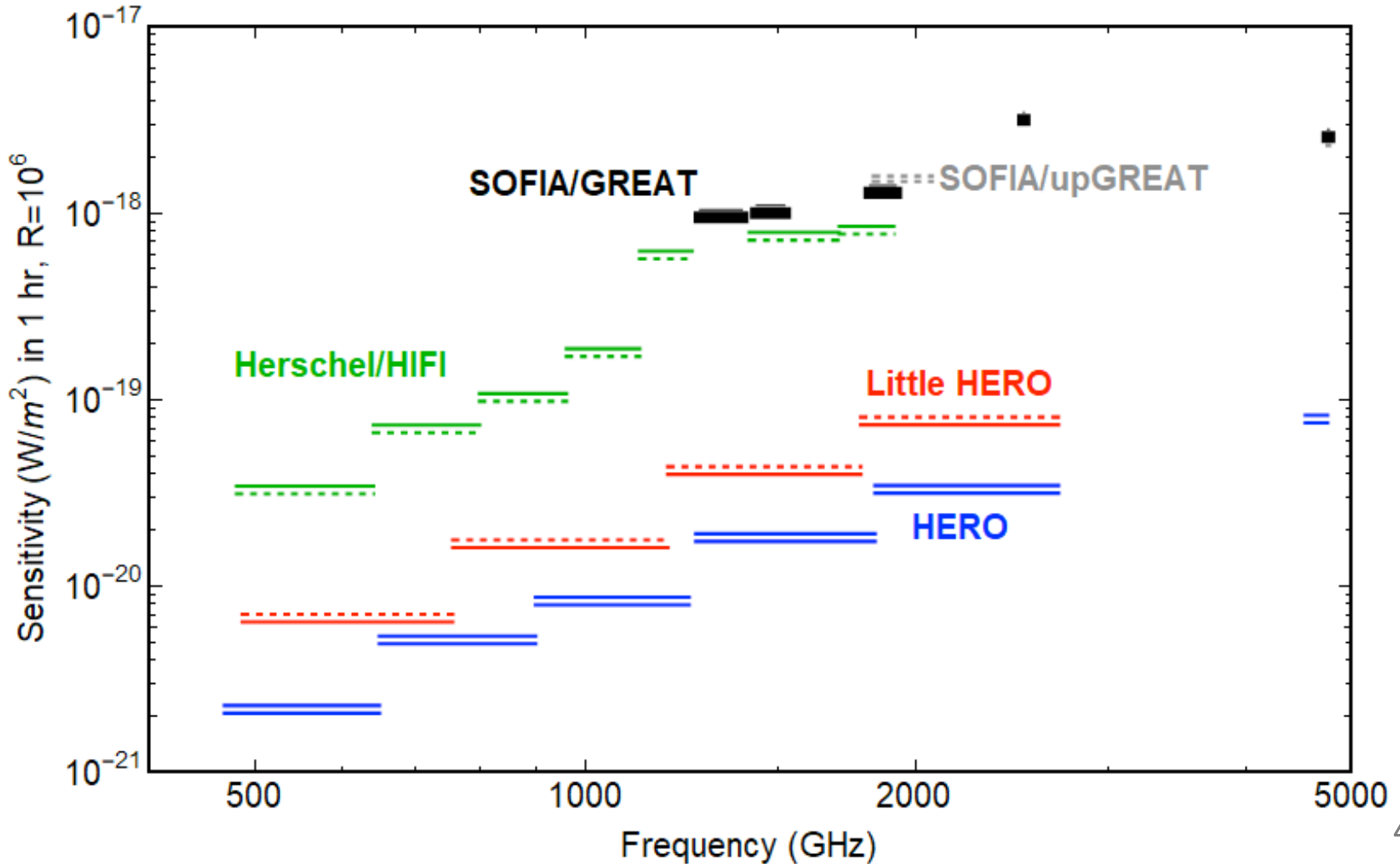


Instrument Performance





HERO sensitivity comparison

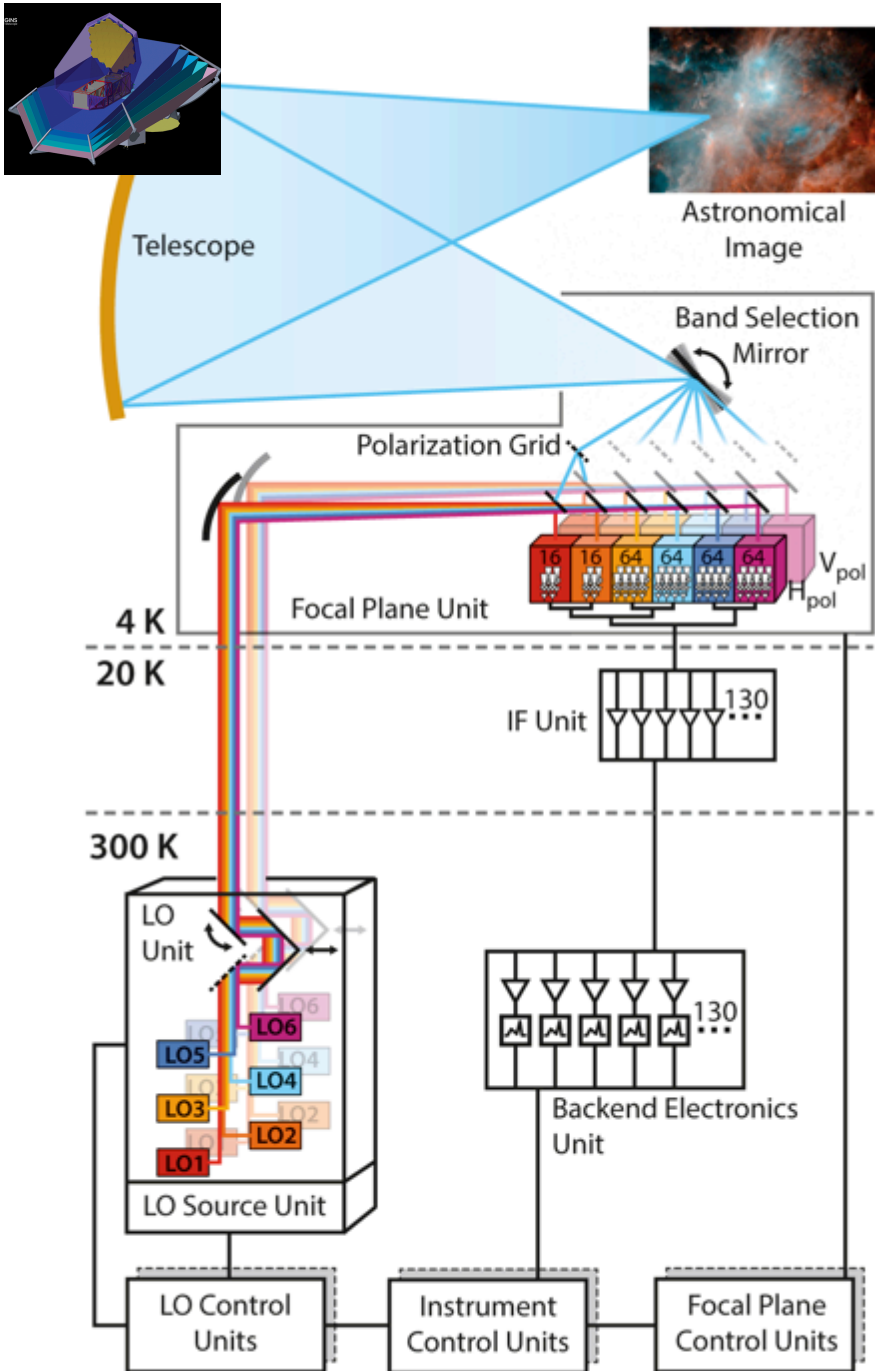


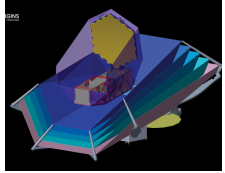
HERO

Instrument

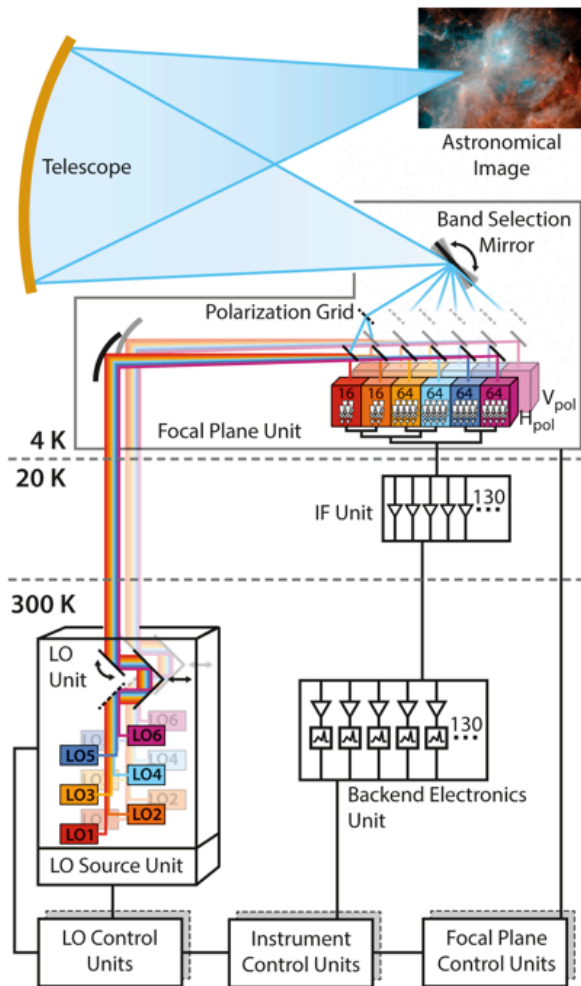
Heterodyne focal plane array with wide frequency coverage

- $R = 10^5$ to 10^7
- 468 – 2700 GHz, 4.7 THz
- 8 GHz IF
- 2x16 SIS, 2x64 HEB





Summary HERO

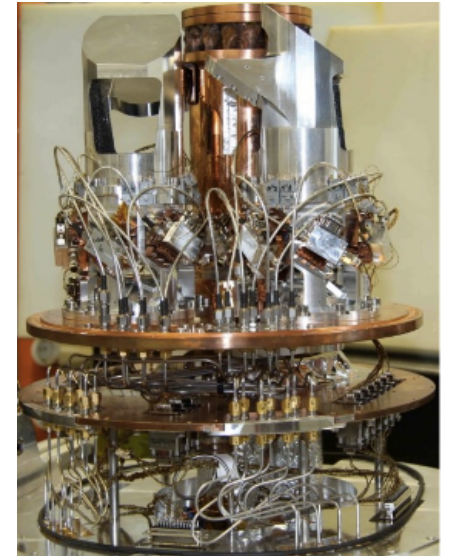


- New era large heterodyne array receiver
- Builds on HIFI/Herschel, (up)GREAT, ALMA experience + recent R&D + innovative approach → largely surpasses current het. receivers
- In pixels: Up to 2x64 channels
- Frequency coverage: 468 – 2700 GHz, and 4.7 THz
- Feasible, high TRL design

Imaging heterodyne Spectrometers

Working imaging heterodyne Spectrometers:

- Up-GREAT 7 x 2 pixels 1.9 – 2.5 THz, 4.7 THz
- STO2 : 4 @ 1.4, 4 @ 1.9, 1@ 4.7 THz
- SMART: 2 x 8 pixels between 460 and 880 GHz
- Harp B: 4x4 SIS mixers at 350 GHz
- Champ+: 7 pixels @ 620 to 720 GHz, spacing $\sim 2.15 \cdot \Theta_{mb}$ and 7 pixels @ 780 to 950 GHz
- Supercam: 8 x 8 pixels at 350 GHz

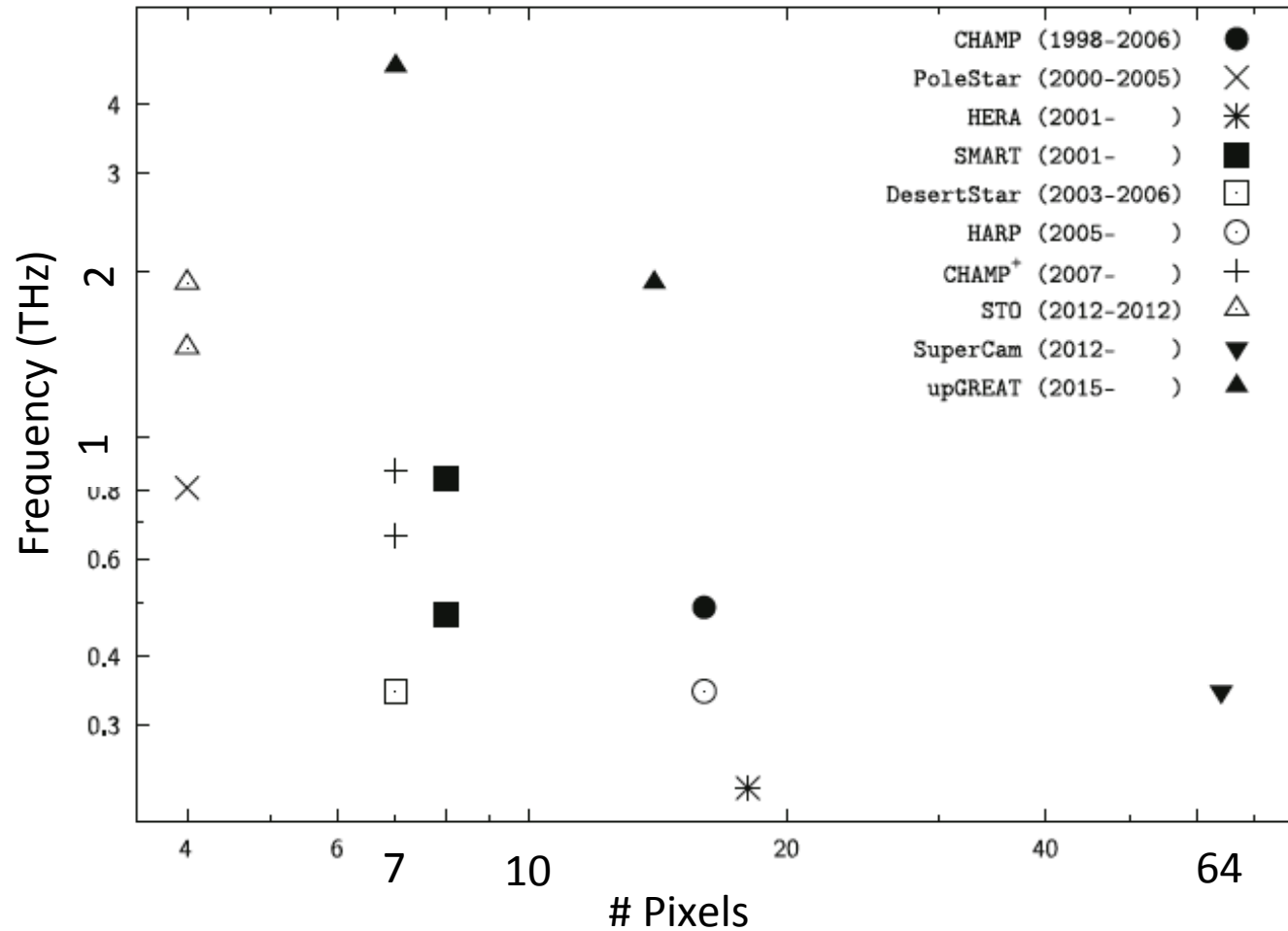


Under construction or designed:

- Gusto: 8 pixels @ 1.4, 1.9 and 4.7 THz
- Chai: 64 pixels @ 650 and 810 GHz
- IRAM: 49 pixels
- AtLAST 100s of pixels
- ...

See also Workshop in Nunspeet March 2017

Overview



Conclusion

Science Cases for Heterodyne in Space:

- The trail of Water
- Cosmic Rays
- Evolved Stars
- Turbulence in the ISM

Imaging heterodyne spectrometers:

- Have been build for ground, airplane and balloon
- We are ready for the first in space!

